



Finance Department – Treasury Division

MEMORANDUM

To: Mayor and Members of the City Council

From: James C. Goins, Finance Director/Treasurer
Tracey T. Lovely, Investment Analyst 

Date: September 27, 2012

Subject: **MONTHLY CASH BALANCES & INVESTMENT REPORTS – August 2012**

Enclosed for your information are the Portfolio Summary, Investment Report, and Cash Report for the month of August 2012 prepared by the City Treasurer pursuant to the statutory requirements of the State of California.

City of Richmond
 Investment Report for AUGUST 2012
 Pooled Investment Portfolio Analysis

Investment Composition:

Book Value of Investments Held
 Market Value of Investments Held
 Unrealized Gain (Loss) - amount
 Unrealized Gain (Loss) - percentage

\$58,705,683.07
 59,222,001.07
 516,318.00
 0.87%

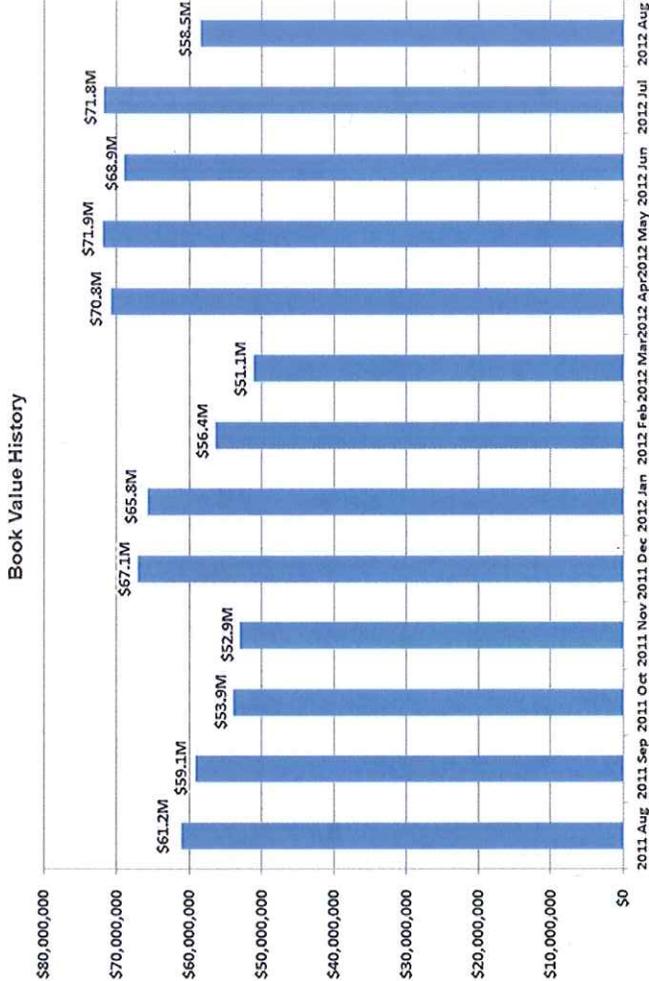
	%	Amount
Federal Agency Securities	81.64%	47,926,427.00
Money Market	3.73%	2,192,070.58
LAIF	1.90%	1,118,152.03
Commercial Paper	3.41%	2,000,000.00
Sweep Account	9.32%	5,469,033.46
	100.00%	58,705,683.07

Cash Summary:

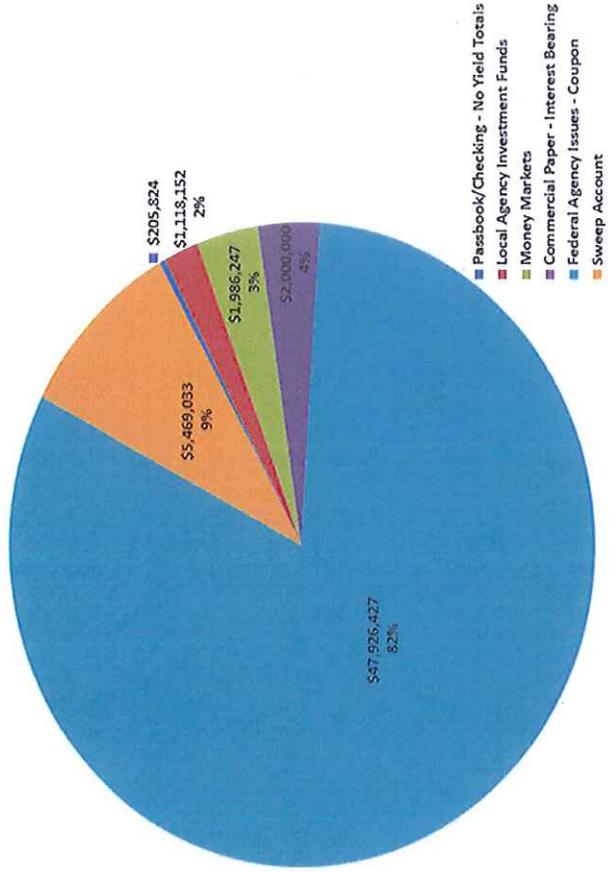
Pooled Investments
 Fiscal Agent Investments (City & Successor Agency-RCRA)
 Checking Accounts & Other Activity
 Successor Agency-RCRA & Other Restricted Investments
 Total Cash Balance (detail attached)

58,705,683.07
 125,881,638.96
 597,892.82
 14,876,078.83
 200,061,293.68

200,061,293.68



Book Value by Investment Type





**City of Richmond
Portfolio Management
Portfolio Summary
August 31, 2012**

City of Richmond
Finance Department
450 Civic Center Plaza
Richmond, CA 94804
(510)620-6740

Investments	Par Value	Market Value	Book Value	% of Portfolio	Term	Days to Maturity	YTM/C 360 Equiv.	YTM/C 365 Equiv.
Local Agency Investment Funds	1,118,152.03	1,118,152.03	1,118,152.03	1.91	1	1	0.372	0.377
Money Markets	1,986,246.57	1,986,246.57	1,986,246.57	3.40	1	1	0.136	0.137
Commercial Paper - Interest Bearing	2,000,000.00	1,990,520.00	2,000,000.00	3.42	1,468	1,255	1.550	1.572
Federal Agency Issues - Coupon	48,000,000.00	48,452,225.00	47,926,427.00	81.93	1,809	520	1.407	1.427
Sweep Account	5,469,033.46	5,469,033.46	5,469,033.46	9.35	1	1	0.118	0.120
Investments	58,573,432.06	59,016,177.06	58,499,859.06	100.00%	1,532	469	1.229	1.246

Cash								
Passbook/Checking (not included in yield calculations)	205,824.01	205,824.01	205,824.01		1	1	0.000	0.000
Total Cash and Investments	58,779,256.07	59,222,001.07	58,705,683.07		1,532	469	1.229	1.246

	August 31 Month Ending	Fiscal Year To Date
Total Earnings		
Current Year	58,111.03	123,860.88
Average Daily Balance	70,163,887.96	71,446,496.35
Effective Rate of Return	0.98%	1.02%

The above investments are consistent with the City's Investment Policy and allowable under current legislation of the State of California. Investments were selected using safety, liquidity and yield as the criteria. The source of the market values for the investments are provided by Union Bank of California in accordance with the California Government Code requirement. The City has sufficient cash flow to cover anticipated expenditures through the next six months.

James Goins, Director of Finance/Treasurer 9.27.12

City of Richmond
Portfolio Management
Portfolio Details - Investments
August 31, 2012

CUSIP	Investment #	Issuer	Average Balance	Purchase Date	Par Value	Market Value	Book Value	Stated Rate	S&P	YTM/C 365	Days to Maturity	Maturity Date
Local Agency Investment Funds												
11-07-003	200676	L.A.I.F. - TRAN			13.48	13.48	13.48	0.377		0.377	1	
98-07-724	1000	L.A.I.F. - City of Richmond			1,118,076.87	1,118,076.87	1,118,076.87	0.377		0.377	1	
SYS200751	200751	L.A.I.F. - Redevelopment			61.68	61.68	61.68	0.377		0.377	1	
		Subtotal and Average	7,585,893.97		1,118,152.03	1,118,152.03	1,118,152.03			0.377	1	
Money Markets												
SYS200595	200595	Torrey Pines/Alta Alliance			438,287.65	438,287.65	438,287.65	0.150		0.152	1	
SYS200601	200601	Cal Trust			301,344.50	301,344.50	301,344.50	0.370		0.375	1	
1601111894	200581	Wells Fargo Bank			344,638.00	344,638.00	344,638.00	0.100		0.101	1	
SYS1030	1030	Mechanics Bank			479,632.37	479,632.37	479,632.37	0.120		0.122	1	
431114503	200534	Union Bank of Calif. - Safekeep			422,344.05	422,344.05	422,344.05			0.000	1	
		Subtotal and Average	4,883,740.30		1,986,246.57	1,986,246.57	1,986,246.57			0.137	1	
Commercial Paper - Interest Bearing												
36962G5T7	200727	General		02/01/2012	2,000,000.00	1,990,520.00	2,000,000.00	1.550		1.572	1,255	02/08/2016
		Subtotal and Average	2,000,000.00		2,000,000.00	1,990,520.00	2,000,000.00			1.572	1,255	
Federal Agency Issues - Coupon												
31331G2Y4	200673	Federal Farm Credit Bank		11/05/2009	3,000,000.00	3,155,940.00	3,000,000.00	2.800	AAA	2.800	795	11/05/2014
313376ZG3	200726	Federal Home Loan Bank		02/23/2012	3,000,000.00	3,000,060.00	2,998,500.00	0.980	AAA	0.991	1,544	11/23/2016
313379EPO	200740	Federal Home Loan Bank		05/30/2012	3,000,000.00	3,025,080.00	2,998,500.00	1.170	AAA	1.181	1,732	05/30/2017
3134G25G3	200725	Federal Home Loan Mortgage Cor		11/21/2011	3,000,000.00	3,007,290.00	2,999,700.00	1.500	AAA	1.510	81	11/21/2016
3134G3ZM5	200748	Federal Home Loan Mortgage Cor		08/01/2012	2,500,000.00	2,504,775.00	2,500,000.00	1.070	AAA	1.070	153	08/01/2017
3134G3ZR4	200750	Federal Home Loan Mortgage Cor		08/07/2012	2,500,000.00	2,505,850.00	2,497,500.00	1.000	AAA	1.051	705	08/07/2017
31398AZ54	200698	Federal National Mortgage Asso		08/04/2010	3,000,000.00	3,164,160.00	2,998,950.00	2.150	AAA	2.157	1,067	08/04/2015
3136FPL1	200713	Federal National Mortgage Asso		05/05/2011	3,000,000.00	3,004,920.00	2,935,977.00	1.500	AAA	2.981	58	10/29/2015
3136FTME6	200724	Federal National Mortgage Asso		11/16/2011	3,000,000.00	3,007,290.00	2,999,700.00	1.550	AAA	1.560	76	11/16/2016
3136G0JX0	200737	Federal National Mortgage Asso		05/30/2012	3,000,000.00	3,013,500.00	2,999,400.00	1.250	AAA	1.270	271	05/30/2017
3136G0JB8	200739	Federal National Mortgage Asso		05/30/2012	3,000,000.00	3,013,860.00	2,998,950.00	1.200	AAA	1.235	271	05/30/2017
3136G0NC1	200743	Federal National Mortgage Asso		06/28/2012	3,000,000.00	3,008,070.00	2,999,250.00	0.750	AAA	0.775	300	06/28/2017
3136G0QG96	200744	Federal National Mortgage Asso		06/29/2012	2,000,000.00	2,004,740.00	2,000,000.00	1.125	AAA	1.125	300	06/28/2017
3135G0MNO	200745	Federal National Mortgage Asso		06/29/2012	3,000,000.00	3,015,120.00	3,000,000.00	1.125	AAA	1.125	300	06/28/2017
3136G0UN9	200746	Federal National Mortgage Asso		07/26/2012	3,000,000.00	3,005,820.00	3,000,000.00	1.010	AAA	1.010	328	07/26/2017
3135G0MW0	200747	Federal National Mortgage Asso		08/09/2012	2,500,000.00	2,507,875.00	2,500,000.00	1.050	AAA	1.050	342	08/09/2017
3135G0MW0	200749	Federal National Mortgage Asso		08/09/2012	2,500,000.00	2,507,875.00	2,500,000.00	1.050	AAA	1.050	342	08/09/2017

Portfolio CITY
CP
PM (PRF_PM2) 7.3.0

City of Richmond
 Portfolio Management
 Portfolio Details - Investments
 August 31, 2012

CUSIP	Investment #	Issuer	Average Balance	Purchase Date	Par Value	Market Value	Book Value	Stated Rate	S&P	YTM/C 365	Days to Maturity	Maturity Date
		Subtotal and Average	46,152,717.32		48,000,000.00	48,452,225.00	47,926,427.00			1.427	520	
	1001	Mechanics Bank		04/12/2006	5,469,033.46	5,469,033.46	5,469,033.46	0.120		0.120	1	
		Subtotal and Average	7,620,415.05		5,469,033.46	5,469,033.46	5,469,033.46			0.120	1	
		Total and Average	70,163,887.96		58,573,432.06	59,016,177.06	58,499,859.06			1.246	469	

Sweep Account

City of Richmond
 Portfolio Management
 Portfolio Details - Cash
 August 31, 2012

CUSIP	Investment #	Issuer	Average Balance	Purchase Date	Par Value	Market Value	Book Value	Stated Rate	S&P	YTM/C 365	Days to Maturity
Cash In Banks											
SYS200723	200723	Mechanics Bank	0.00	07/01/2012	205,824.01	205,824.01	205,824.01			0.000	1
		Average Balance	0.00								1
		Total Cash and Investments	70,163,887.96		58,779,256.07	59,222,001.07	58,705,683.07			1.246	469

CITY OF RICHMOND
 CASH BALANCES BY FUND
 AUGUST 2012

AUGUST 2012

<u>FUND</u>	<u>FUND DESCRIPTION</u>	<u>AMOUNT</u>	<u>TOTAL</u>
GENERAL FUND			
0001	GENERAL FUND	29,797,508.73	29,797,508.73
SPECIAL REVENUE FUNDS			
1001	SECURED PENSION OVERRIDE	1,213,313.45	
1002	STATE GAS TAX	3,654,052.86	
1003	PARATRANSIT OPERATIONS	(577,352.43)	
1004	ASSET SEIZURE FUND	292,419.46	
1005	LIBRARY FUND	634,513.76	
1006	OUTSIDE FUNDED SVCS - GRANTS	571,893.58	
1007	EMERGENCY MED SERV	164,029.99	
1008	PT MOLATE SEC & MAINT	(381,747.25)	
1009	VEOLIA MITIGATION	64,750.56	
1010	N.RICHMOND WASTE	367,617.68	
1011	OUTSIDE FUNDED SVCS - DONATION	39,331.96	
1012	HILLTOP LANDSCAPE MAINT DIST	674,278.49	
1013	HAZMAT FUND	340,538.98	
1015	MARINA BAY LNDSCP & LIGHT DIST	604,243.45	
1050	CR-PLANNING & BUILDING	(4,669,174.63)	
1051	CR-ENGINEERING	448,131.53	
1053	CR-CODE ENFORCEMENT	(2,790,103.32)	
1054	ENGINEERING GRANTS	(263,546.74)	
1201	CDBG	1,728,786.90	
1202	HOME PROGRAM	(693,362.49)	
1203	NEIGHBORHOOD STABILIZATION	(1,078,551.29)	
1204	CDBG RECOVERY	14,151.56	
1205	EMPLOYMENT & TRAINING	620,766.56	
1206	CDBG HOMELESS PREV RAPID RESP	(7,667.39)	
			971,315.23

FUND FUND DESCRIPTION AMOUNT TOTAL

CAPITAL PROJECT FUNDS

2001	GENERAL CAPITAL FUND	3,858,954.67	
2002	MEASURE J	788,788.91	
2003	CIP LSE REV BOND 01	606,424.89	
2004	CIVIC CENTER	1,059,796.17	
2006	PARKS FUND	(1,038,081.77)	
2007	HARBOR FUND	1,086,627.42	
2008	TRANSPORTATION FUND	41,219.94	
2009	STATE HIGHWAY FUND	670,733.04	
2110	IMPACT FEE - PARKS	1,882,715.51	
2111	IMPACT FEE - TRAFFIC	(2,860,659.79)	
2113	IMPACT FEE - FIRE	47,862.63	
2114	IMPACT FEE - POLICE	120,899.93	
2115	IMPACT FEE - COMM/AQUATC	241,269.93	
2116	IMPACT FEE - PARKS/OPEN	(660,401.63)	
2117	IMPACT FEE - LIBRARY	204,957.05	
2118	IMPACT FEE - HILLTOP	(2,127.50)	
2119	IMPACT FEE - STORM DRAINAGE	(183,588.29)	5,865,391.11

DEBT SERVICE FUNDS

3001	DS - 2005 TAXABLE POB	6,065,647.54	
3002	DS - 99A PENSION OBLIG BOND	1,919,550.79	
3003	DS - 95A SER REV RFDG BDS	733,795.58	
3005	DS-2007 REFNDING&CVC CTR	1,555,065.47	10,274,059.38

ENTERPRISE FUNDS

4001	PORT OF RICHMOND	7,304,288.89	
4003	WASTEWATER	35,683,754.65	
4005	MARINA	2,620,750.69	
4006	STORMWATER	(2,066,891.08)	
4008	KCRT - CABLE TELEVIS	265,774.13	43,807,677.28

FUND FUND DESCRIPTION AMOUNT TOTAL

INTERNAL SERVICE FUNDS

5001	INSURANCE RESERVES	24,369,391.61	
5002	INFORMATION TECHNOLOGY	2,871.93	
5003	EQUIPMENT SERVICES	1,249,717.60	
5004	FACILITIES MANAGEMENT	(1,906.93)	
5005	CAD DISPATCH SYSTEM	372,558.78	
5006	RMS RECORDS SERVICES	180,570.47	
5007	800 MHZ TELECOM SYSTEM	6,482.65	
			26,179,686.11

TRUST & AGENCY FUNDS

6001	GENERAL TRUST & AGENCY	681,438.04	
6002	JOHNSON TRUST	10,078.49	
6003	CUBA FRIENDSHIP	1,953.31	
6004	COMMISSION ON AGING	34,753.81	
6005	SEAVER GALLERY TRUST FUND	120,529.68	
6006	POLICE GENERAL TRUST	1,084,671.07	
6007	SENIOR TRUST DEPOSIT	36,463.77	
6049	PT MOLATE NAVY GRANT	21,092,879.86	
6050	GENERAL PENSION FUND	672,099.17	
6051	POLICE & FIRE PENSION	16,499,966.42	
6052	GARFIELD PENSION FUND	238,373.39	
6054	CUTTING CANAL A.D. 8	-	
6055	HILLTOP REDEMPTION F	-	
6056	SAN PABLO 854 ASSES	247,889.19	
6057	HARBOR NAVIGATION IM	584,601.79	
6058	MARINA WESTSHORE DEB	490,332.99	
6059	COUNTRY CLUB VISTA	1,497,204.55	
6060	REASSESSMENT DISTRICT 2003-1	2,626,676.89	
6999	PAYROLL LIABILITIES FUND	1,519,898.49	
			47,439,810.91

9999 TREASURER'S FUND - 72,701.21 72,701.21

<u>FUND</u>	<u>FUND DESCRIPTION</u>	<u>AMOUNT</u>	<u>TOTAL</u>
<u>SUCCESSOR AGENCY - RCRA</u>			
7001	COR SUCCESSOR AGENCY ADMIN	18,018,050.64	
7101	RCRA ADMIN	(668,909.38)	
7102	HSNG ADMIN	(871,911.53)	
7201	CIP/RCRA	(4,262,164.30)	
7202	CIP/HSNG	1,256,719.79	
7203	CIP/HENSLY	55,194.93	
7204	CIP/DTOWN	745,458.57	
7205	CIP/HAR11A	1,859,100.62	
7206	CIP/L-MHSG	(1,001,158.50)	
7207	CIP/JP-00A	690,225.30	
7208	CIP/JP-00B	1,068,532.34	
7209	CIP/DTOWN	(150,257.68)	
7310	CIP/HAR11A	5,220,070.99	
7312	CIP/2003B	308,258.89	
7313	CIP/04A-RA	1,641,033.07	
7314	CIP/04A-HS	816,915.42	
7315	CIP/04B-HS	1,171,334.09	
7316	CIP/07A-BD	8,813,107.26	
7317	CIP/07B-BD	6,114,244.66	
7401	DS/ESTSHRE	(183,875.32)	
7402	DS/POTRERO	(344,346.66)	
7403	DS/PILOT	590,992.36	
7404	DS/HENSLEY	(680,072.20)	
7405	DS/DTOWN	(632,809.87)	
7406	DS/GALVIN	(261,294.55)	
7407	DS/NEVIN	(57,450.46)	
7408	DS/N-RCHD	22,430.43	
7409	DS/HRBR DS	(4,701,222.67)	
7510	DS/91HRBR	79,807.63	
7511	DS/98HRBR	1,313,542.32	
7512	DS/6AHRBR	(360,267.06)	
7513	DS/WF02LN	(502,941.56)	
7601	2003A DEBT	554,606.41	
7602	2003B DEBT	621,405.16	
7603	2004A DEBT	2,658,981.45	
7604	2000A DEBT	1,906,522.60	

<u>FUND</u>	<u>FUND DESCRIPTION</u>	<u>AMOUNT</u>	<u>TOTAL</u>
7701	2004A HSNG	1,683,699.59	
7702	2004B HSNG	194,192.59	
7703	2007B HSNG	938,339.41	43,664,084.78
<u>RICHMOND HOUSING AUTHORITY</u>			
8101	RHA - CONVENTIONAL FUND	(8,010,941.06)	(8,010,941.06)
TOTAL CASH			200,061,293.68
			<u>200,061,293.68</u>