



Finance Department – Treasury Division

MEMORANDUM

To: Mayor and Members of the City Council

From: James C. Goins, Finance Director/Treasurer
Tracey T. Lovely, Investment Analyst *T.L.*

Date: April 5, 2013

Subject: **MONTHLY CASH BALANCES & INVESTMENT REPORTS –
January 2013**

Enclosed for your information are the Portfolio Summary, Investment Report, and Cash Report for the month of January 2013 prepared by the City Treasurer pursuant to the statutory requirements of the State of California.

City of Richmond
 Investment Report for JANUARY 2013
 Pooled Investment Portfolio Analysis

Investment Composition:

Book Value of Investments Held
 Market Value of Investments Held
 Unrealized Gain (Loss) - amount
 Unrealized Gain (Loss) - percentage

\$66,302,811.30
 66,579,241.30
 276,430.00
 0.42%

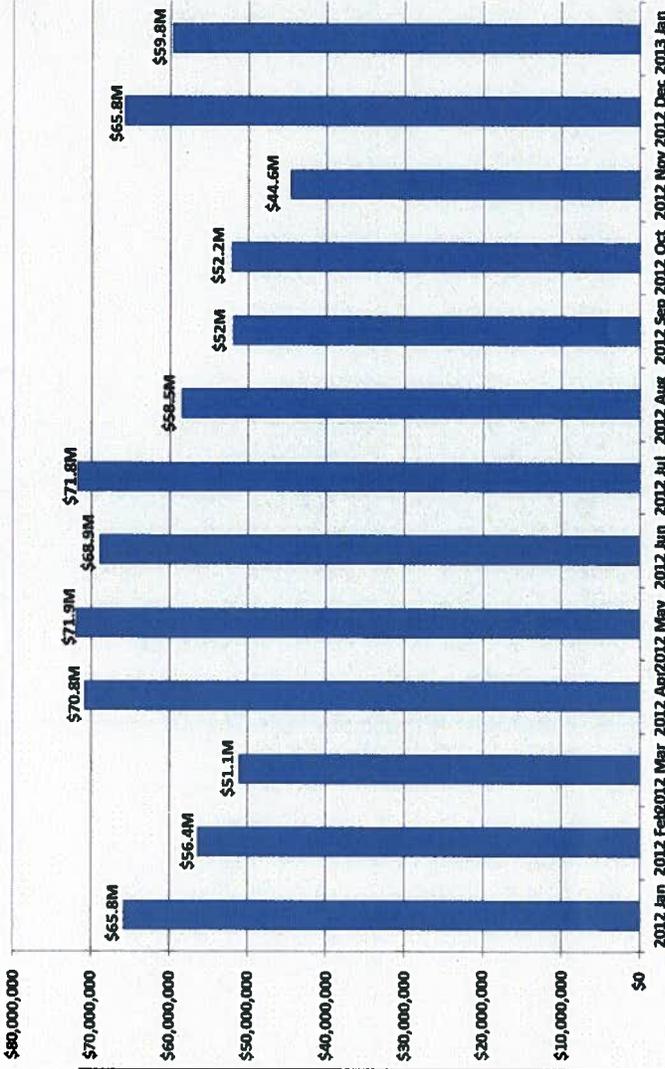
	%	Amount
Federal Agency Securities	55.04%	36,491,450.00
Money Market	12.26%	8,129,529.95
LAIF	22.82%	15,128,619.05
Commercial Paper	3.02%	2,000,000.00
Sweep Account	6.87%	4,553,212.30
	100.00%	66,302,811.30

Cash Summary:

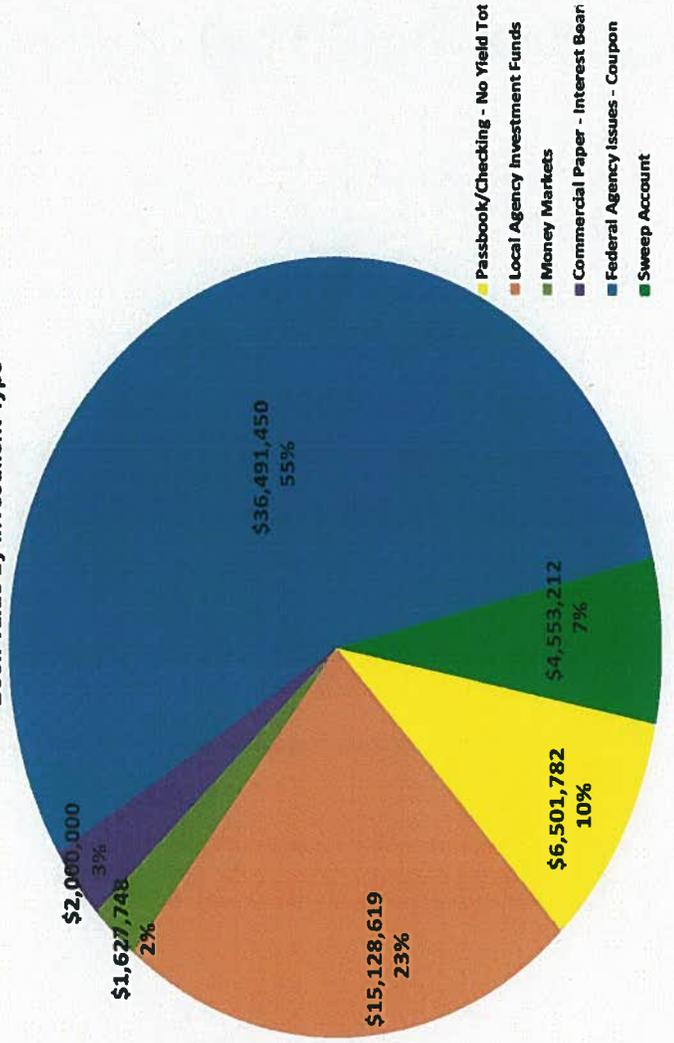
Pooled Investments
 Fiscal Agent Investments (City & Successor Agency-RCRA)
 Successor Agency-RCRA & Other Restricted Investments
 Checking Accounts & Other Activity
 Total Cash Balance (detail attached)

66,302,811.30
 103,136,889.15
 11,658,945.29
 (4,791,152.60)
 176,307,493.14

Book Value History



Book Value by Investment Type





**City of Richmond
Portfolio Management
Portfolio Summary
January 31, 2013**

City of Richmond
Finance Department
450 Civic Center Plaza
Richmond, CA 94804
(510)620-6740

Investments	Par Value	Market Value	Book Value	% of Portfolio	Term	Days to Maturity	YTM/C 360 Equiv.	YTM/C 365 Equiv.
Local Agency Investment Funds	15,128,619.05	15,128,619.05	15,128,619.05	25.30	1	1	0.298	0.300
Money Markets	1,627,747.77	1,627,747.77	1,627,747.77	2.72	1	1	0.135	0.137
Commercial Paper - Interest Bearing	2,000,000.00	1,998,700.00	2,000,000.00	3.34	1,488	1,102	1.550	1.572
Federal Agency Issues - Coupon	36,500,000.00	36,771,180.00	36,491,450.00	61.02	1,826	287	1.282	1.300
Sweep Account	4,553,212.30	4,553,212.30	4,553,212.30	7.61	1	1	0.118	0.120
Investments	59,809,579.12	60,077,459.12	59,801,029.12	100.00%	1,164	200	0.922	0.935
Cash								
Passbook/Checking (not included in yield calculations)	6,501,782.18	6,501,782.18	6,501,782.18		1	1	0.000	0.000
Total Cash and Investments	66,311,361.30	66,579,241.30	66,302,811.30		1,164	200	0.922	0.935

Total Earnings	January 31 Month Ending	Fiscal Year To Date
Current Year	46,513.21	438,601.05
Average Daily Balance	66,314,044.85	61,576,245.83
Effective Rate of Return	0.83%	1.21%

The above investments are consistent with the City's Investment Policy and allowable under current legislation of the State of California. Investments were selected using safety, liquidity and yield as the criteria. The source of the market values for the investments are provided by Union Bank of California in accordance with the California Government Code requirement. The City has sufficient cash flow to cover anticipated expenditures through the next six months.

James Goins, Director of Finance/Treasurer *J. Goins*

**City of Richmond
Portfolio Management
Portfolio Details - Investments
January 31, 2013**

CUSIP	Investment #	Issuer	Average Balance	Purchase Date	Par Value	Market Value	Book Value	Stated Rate	S&P	YTM/C 365	Days to Maturity	Maturity Date
Local Agency Investment Funds												
11-07-003	200676	L.A.I.F. - TRAN			13.50	13.50		0.300		0.300	1	
98-07-724	1000	L.A.I.F. - City of Richmond			15,128,605.55	15,128,605.55		0.300		0.300	1	
SYS200751	200751	L.A.I.F. - Redevelopment			0.00	0.00		0.348		0.348	1	
		Subtotal and Average	19,642,819.02		15,128,619.05	15,128,619.05				0.300	1	
Money Markets												
SYS200595	200595	Torrey Pines/Alta Alliance			438,563.32	438,563.32		0.150		0.152	1	
SYS200601	200601	Cal Trust			301,803.35	301,803.35		0.300		0.304	1	
160111894	200581	Wells Fargo Bank			344,782.49	344,782.49		0.100		0.101	1	
SYS1030	1030	Mechanics Bank			479,797.44	479,797.44		0.060		0.061	1	
431114503	200534	Union Bank of Calif - Safekeep			62,801.17	62,801.17				0.000	1	
		Subtotal and Average	2,257,715.30		1,627,747.77	1,627,747.77				0.137	1	
Commercial Paper - Interest Bearing												
36962G577	200727	General		02/01/2012	2,000,000.00	1,996,700.00	2,000,000.00	1.550		1.572	1,102	02/08/2016
		Subtotal and Average	2,000,000.00		2,000,000.00	1,996,700.00				1.572	1,102	
Federal Agency Issues - Coupon												
31331G2Y4	200673	Federal Farm Credit Bank		11/05/2009	3,000,000.00	3,128,580.00	3,000,000.00	2.800	AAA	2.800	642	11/05/2014
3133813R4	200754	Federal Home Loan Bank		11/09/2012	2,000,000.00	2,001,040.00	1,999,400.00	1.006	AAA	1.066	97	11/09/2017
3133813D5	200755	Federal Home Loan Bank		11/16/2012	2,000,000.00	1,986,080.00	1,999,100.00	1.009	AAA	1.100	103	11/15/2017
3133813R4	200756	Federal Home Loan Bank		11/09/2012	2,000,000.00	2,001,040.00	1,999,400.00	1.008	AAA	1.012	97	11/09/2017
3134G3ZM5	200748	Federal Home Loan Mortgage Cor		08/01/2012	2,500,000.00	2,500,000.00	2,500,000.00	1.070	AAA	1.070	0	08/01/2017
3134G33Y4	200766	Federal Home Loan Mortgage Cor		01/22/2013	1,991,580.00	1,991,580.00	1,997,000.00	0.950	AAA	1.026	720	01/22/2018
31398AZ54	200688	Federal National Mortgage Asso		08/04/2010	3,000,000.00	3,126,980.00	2,998,950.00	2.150	AAA	2.157	914	08/04/2015
3136GJX0	200737	Federal National Mortgage Asso		05/30/2012	3,000,000.00	3,008,520.00	2,999,400.00	1.250	AAA	1.270	118	05/30/2017
3136GJJB8	200739	Federal National Mortgage Asso		05/30/2012	3,000,000.00	3,008,520.00	2,998,950.00	1.200	AAA	1.235	118	05/30/2017
3136GONC1	200743	Federal National Mortgage Asso		06/28/2012	3,000,000.00	3,005,100.00	2,998,250.00	0.750	AAA	0.775	147	06/28/2017
3135GOMN0	200745	Federal National Mortgage Asso		06/29/2012	3,000,000.00	3,008,820.00	3,000,000.00	1.125	AAA	1.125	147	06/28/2017
3136GJUN9	200746	Federal National Mortgage Asso		07/28/2012	3,000,000.00	2,995,710.00	3,000,000.00	1.010	AAA	1.010	175	07/28/2017
3135GOMW0	200747	Federal National Mortgage Asso		08/09/2012	2,500,000.00	2,499,600.00	2,500,000.00	1.050	AAA	1.050	189	08/09/2017
3135GOMW0	200749	Federal National Mortgage Asso		08/09/2012	2,500,000.00	2,499,600.00	2,500,000.00	1.050	AAA	1.050	189	08/09/2017
		Subtotal and Average	35,138,643.55		36,500,000.00	36,771,180.00	36,491,450.00			1.300	267	

**City of Richmond
Portfolio Management
Portfolio Details - Investments
January 31, 2013**

CUSIP	Investment #	Issuer	Average Balance	Purchase Date	Par Value	Market Value	Book Value	Stated Rate	S&P	YTM/C 365	Days to Maturity	Maturity Date
1001	1001	Mechanics Bank		04/12/2006	4,553,212.30	4,553,212.30	4,553,212.30	0.120		0.120	1	
		Subtotal and Average	5,880,958.48		4,553,212.30	4,553,212.30	4,553,212.30			0.120	1	
		Total and Average	66,314,044.85		59,809,579.12	60,077,469.12	59,801,029.12			0.935	200	

Sweep Account

City of Richmond
Portfolio Management
Portfolio Details - Cash
January 31, 2013

CUSIP	Investment #	Issuer	Average Balance	Purchase Date	Par Value	Market Value	Book Value	Stated Rate	S&P	YTM/C 365	Days to Maturity	
Cash in Banks												
SYS200723	200723	Mechanics Bank		07/01/2012	6,501,782.18	6,501,782.18	6,501,782.18			0.000	1	
		Average Balance	0.00								1	
		Total Cash and Investments	66,314,044.85		66,311,361.30	66,579,241.30	66,302,811.30			0.935	200	

CITY OF RICHMOND
 CASH BALANCES BY FUND
 JANUARY 2013

		<u>JANUARY 2013</u>	
<u>FUND</u>	<u>FUND DESCRIPTION</u>	<u>AMOUNT</u>	<u>TOTAL</u>
GENERAL FUND			
0001	GENERAL FUND	28,600,442.27	28,600,442.27
SPECIAL REVENUE FUNDS			
1001	SECURED PENSION OVERRIDE	1,690,654.68	
1002	STATE GAS TAX	2,863,066.48	
1003	PARATRANSIT OPERATIONS	(147,390.30)	
1004	ASSET SEIZURE FUND	420,016.80	
1005	LIBRARY FUND	651,390.17	
1006	OUTSIDE FUNDED SVCS - GRANTS	263,985.44	
1007	EMERGENCY MED SERV	89,880.26	
1008	PT MOLATE SEC & MAINT	(364,758.04)	
1009	VEOLIA MITIGATION	77,528.17	
1010	N.RICHMOND WASTE	329,093.39	
1011	OUTSIDE FUNDED SVCS - DONATION	39,497.87	
1012	HILLTOP LANDSCAPE MAINT DIST	623,886.46	
1013	HAZMAT FUND	450,071.70	
1014	EDA GRANT FUND	167,112.29 *	
1015	MARINA BAY LNDSCP & LIGHT DIST	861,835.57	
1016	DOWNTOWN BUSINESS IMPRV DIST	83,379.10 *	
1050	CR-PLANNING & BUILDING	(4,084,701.18)	
1051	CR-ENGINEERING	2,125,160.92	
1053	CR-CODE ENFORCEMENT	(1,764,970.55)	
1054	ENGINEERING GRANTS	29,479.04	
1201	CDBG	524,890.06	
1202	HOME PROGRAM	(294,333.36)	
1203	NEIGHBORHOOD STABILIZATION	(353,488.37)	
1204	CDBG RECOVERY	14,151.56	
1205	EMPLOYMENT & TRAINING	143,884.23	
1206	CDBG HOMELESS PREV RAPID RESP	(30,056.31)	
1208	SUCCESSOR HOUSING AGENCY	77,989.50 *	
1209	CAL-HOME GRANT FUND	(606,053.26) *	
			3,881,202.32

<u>FUND</u>	<u>FUND DESCRIPTION</u>	<u>AMOUNT</u>	<u>TOTAL</u>
<u>CAPITAL PROJECT FUNDS</u>			
2001	GENERAL CAPITAL FUND	1,865,098.13	
2002	MEASURE J	701,223.49	
2003	CIP LSE REV BOND 01	608,981.10	
2004	CIVIC CENTER	711,541.32	
2006	PARKS FUND	(1,038,081.77)	
2007	HARBOR FUND	773,987.19	
2008	TRANSPORTATION FUND	1,038.68	
2009	STATE HIGHWAY FUND	622,279.03	
2110	IMPACT FEE - PARKS	1,685,539.65	
2111	IMPACT FEE - TRAFFIC	(2,860,659.79)	
2113	IMPACT FEE - FIRE	48,064.38	
2114	IMPACT FEE - POLICE	120,065.54	
2115	IMPACT FEE - COMM/AQUATC	166,549.07	
2116	IMPACT FEE - PARKS/OPEN	(660,401.63)	
2117	IMPACT FEE - LIBRARY	199,228.67	
2118	IMPACT FEE - HILLTOP	(2,127.50)	
2119	IMPACT FEE - STORM DRAINAGE	(183,588.29)	
2125	SECTION 108 IRON TRIANGLE	1,510,867.41	4,269,604.68
<u>DEBT SERVICE FUNDS</u>			
3001	DS - 2005 TAXABLE POB	4,674,051.23	
3002	DS - 99A PENSION OBLIG BOND	509,638.79	
3003	DS - 95A SER REV RFDG BDS	734,151.58	
3005	DS-2007 REFNDING&CVC CTR	2,588,569.44	8,506,411.04
<u>ENTERPRISE FUNDS</u>			
4001	PORT OF RICHMOND	4,814,548.24	
4003	WASTEWATER	35,221,225.72	
4005	MARINA	2,788,054.72	
4006	STORMWATER	(1,496,094.23)	
4008	KCRT - CABLE TELEVIS	88,898.22	41,416,632.67

<u>FUND</u>	<u>FUND DESCRIPTION</u>	<u>AMOUNT</u>	<u>TOTAL</u>
<u>INTERNAL SERVICE FUNDS</u>			
5001	INSURANCE RESERVES	19,132,657.10	
5003	EQUIPMENT SERVICES	2,564,528.41	
5004	FACILITIES MANAGEMENT	202.90	
5005	CAD DISPATCH SYSTEM	595,954.07	
5006	RMS RECORDS SERVICES	163,945.45	
5007	800 MHZ TELECOM SYSTEM	6,509.98	22,463,797.91
<u>TRUST & AGENCY FUNDS</u>			
6001	GENERAL TRUST & AGENCY	2.64	
6002	JOHNSON TRUST	10,120.97	
6003	CUBA FRIENDSHIP	1,961.55	
6004	COMMISSION ON AGING	26,046.48	
6005	SEAVER GALLERY TRUST FUND	135,266.23	
6006	POLICE GENERAL TRUST	933,529.36	
6007	SENIOR TRUST DEPOSIT	27,556.31	
6049	PT MOLATE NAVY GRANT	20,424,723.26	
6050	GENERAL PENSION FUND	440,489.08	
6051	POLICE & FIRE PENSION	14,148,018.63	
6052	GARFIELD PENSION FUND	203,465.57	
6055	HILLTOP REDEMPTION F	(250.00)	
6056	SAN PABLO 854 ASSES	248,934.09	
6057	HARBOR NAVIGATION IM	506,751.69	
6058	MARINA WESTSHORE DEB	611,696.22	
6059	COUNTRY CLUB VISTA	1,364,814.47	
6060	REASSESSMENT DISTRICT 2003-1	2,359,245.30	
6999	PAYROLL LIABILITIES FUND	1,879,809.87	43,322,181.72
<u>SUCCESSOR AGENCY - FIDUCIARY FUNDS</u>			
6101	SA - PROPERTY TAX TRUST FUND	9,856,205.87	*
6102	SA-ADMINISTRATION	78,829.14	*
6103	SA-CAPITAL PROJECT FUNDS	497,017.87	*
6104	SA-LOW/MOD INCOME HOUSING	4,142,579.19	*
6105	SA-WELLS FARGO 2002 LOAN	-	*
6201	SA-CIP 00A TAR BND PRCDS-JPFA	689,905.88	*
6202	SA-CIP 00B TAR BND PRCDS-HSNG	945,057.01	*
6203	SA-CIP 03B TAR BND PRCDS	308,258.89	*

<u>FUND</u>	<u>FUND DESCRIPTION</u>	<u>AMOUNT</u>	<u>TOTAL</u>
6204	SA-CIP 04A TAR BND PRCD S	1,634,429.90	*
6205	SA-CIP 04A TAR BND PRCD S-HSG	756,477.95	*
6206	SA-CIP 04B TAR BND PRCD S-HSG	1,218,447.97	*
6207	SA-CIP 07A TAR BND PRCD S	1,399,943.76	*
6208	SA-CIP 07B TAR BND PRCD S-HSG	2,107,444.45	*
6300	SA-DS HARBOR 98 TAR B	3,104,041.67	*
6301	SA-DS 2000A TAR B	1,857,442.43	*
6302	SA-DS 2000B TAR B - HOUSING	494,681.62	*
6303	SA-DS 2003A TAR B	844,462.68	*
6304	SA-DS 2003B TAR B	656,452.84	*
6305	SA-DS 2004A TAR B 2/3	1,849,710.62	*
6306	SA-DS 2004A TAR B -1/3 HSING	924,855.31	*
6307	SA-DS 2004B TAR B - HOUSING	199,970.55	*
6308	SA-DS 2007/10A TAR B	6,995,656.86	*
6309	SA-DS 2007/10B TAR B - HOUSING	2,273,838.25	*
6996	SUCCESSOR AGENCY - GLTDAG	-	*
6997	SUCCESSOR AGENCY - GFAAG	-	*
			42,835,710.71
<u>SUCCESSOR AGENCY - RCRA (OLD FUNDS)</u>			
7101	RCRA ADMIN	(1,055,216.39)	
7102	HSNG ADMIN	(849,803.42)	
7201	CIP/RCRA	(7,319,191.95)	
7202	CIP/HSNG	(658,819.69)	
7209	CIP/DTOWN	(45,736.43)	
7310	CIP/HAR11A	(930,319.66)	
			(10,859,087.54)
<u>RICHMOND HOUSING AUTHORITY</u>			
8101	RHA - CONVENTIONAL FUND	(8,206,368.88)	
<u>TREASURER'S FUNDS</u>			
9999	TREASURER'S FUND -	76,966.24	
			76,966.24
TOTAL CASH			176,307,493.14
			176,307,493.14

* - New Funds