



Finance Department – Treasury Division

MEMORANDUM

To: Mayor and Members of the City Council

**From: James C. Goins, Finance Director/Treasurer
Tracey T. Lovely, Investment Analyst**

A handwritten signature in blue ink, appearing to be "J. C. Goins", written over the printed name of James C. Goins.

Date: October 11, 2013

**Subject: MONTHLY CASH BALANCES & INVESTMENT REPORTS –
July 2013**

Enclosed for your information are the Portfolio Summary, Investment Report, and Cash Report for the month of July 2013 prepared by the City Treasurer pursuant to the statutory requirements of the State of California.

City of Richmond
Investment Report for JULY 2013
Pooled Investment Portfolio Analysis

Investment Composition:

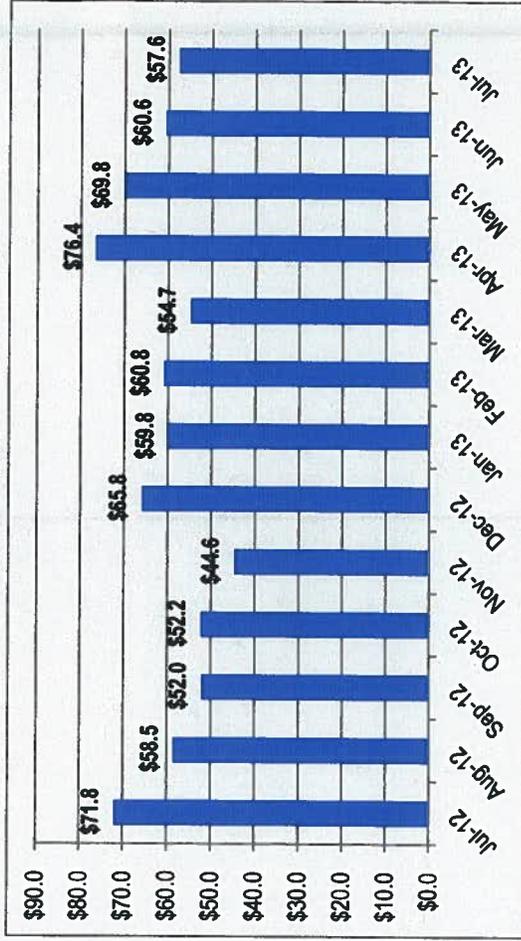
Book Value of Investments Held	57,626,436.15
Market Value of Investments Held	57,016,843.15
Unrealized Gain (Loss) - amount	(609,593.00)
Unrealized Gain (Loss) - percentage	-1.07%

	%	Amount
Federal Agency Securities	85.87%	49,484,500.00
Money Market	1.89%	1,086,427.00
LAIF	5.81%	3,345,722.28
Corporate Notes	3.47%	2,000,000.00
Certificates of Deposit	1.30%	750,000.00
Sweep Account	1.67%	959,786.87
	<u>100.00%</u>	<u>57,626,436.15</u>

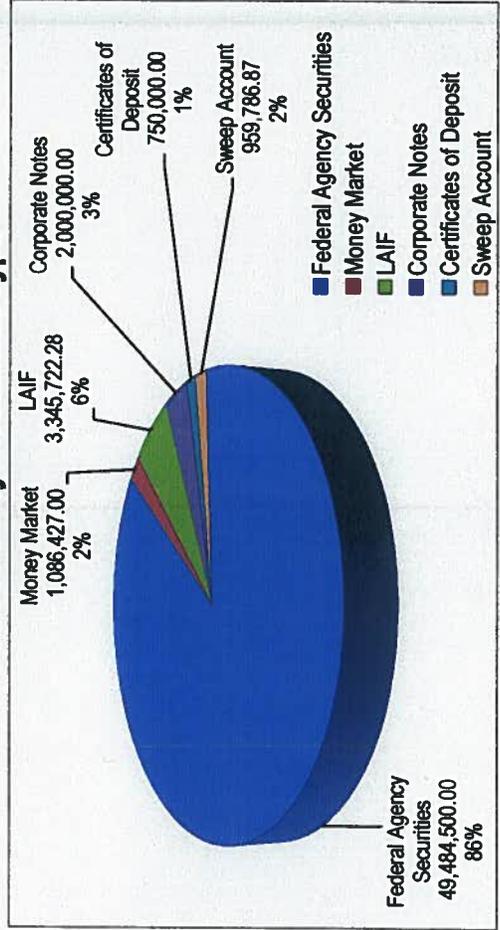
Cash Summary:

Pooled Investments	57,626,436.15
Fiscal Agent & Other Restricted Investments:	
City	79,844,348.38
Successor Agency to the RCRA	43,571,364.78
Total Fiscal Agent & Other Investments	<u>123,415,713.16</u>
Checking Accounts & Other Activity	<u>(5,365,269.79)</u>
Total Cash Balance (detail attached)	<u><u>175,676,879.52</u></u>

Book Value History
(in millions)



Book Value by Investment Type





**City of Richmond
Portfolio Management
Portfolio Summary
July 31, 2013**

City of Richmond
Finance Department
450 Civic Center Plaza
Richmond, CA 94804
(510)620-6740

Investments	Par Value	Market Value	Book Value	% of Portfolio	Term	Days to Maturity	YTM/C 360 Equiv.	YTM/C 365 Equiv.
Local Agency Investment Funds	3,345,722.28	3,345,722.28	3,345,722.28	5.82	1	1	0.241	0.244
Certificates of Deposit	250,000.00	244,762.50	250,000.00	0.43	1,826	1,734	1.150	1.166
Money Markets	1,086,427.00	1,086,427.00	1,086,427.00	1.89	1	1	0.184	0.187
Federal Agency Issues - Coupon	49,500,000.00	48,867,457.00	49,484,500.00	86.02	1,824	770	1.278	1.295
Certificates of Deposit-Bank	500,000.00	497,227.50	500,000.00	0.87	1,012	862	0.666	0.675
Corporate Notes	2,000,000.00	2,015,460.00	2,000,000.00	3.48	1,461	921	1.529	1.550
Sweep Account	858,507.84	858,507.84	858,507.84	1.49	1	1	0.069	0.070
Investments	57,540,657.12	56,915,564.12	57,525,157.12	100.00%	1,636	710	1.182	1.198

Cash and Accrued Interest								
Passbook/Checking (not included in yield calculations)	101,279.03	101,279.03	101,279.03		1	1	0.000	0.000
Accrued Interest at Purchase		81.67	81.67					
Subtotal		101,360.70	101,360.70					
Total Cash and Investments	57,641,936.15	57,016,924.82	57,626,517.82		1,636	710	1.182	1.198

Total Earnings	July 31 Month Ending	Fiscal Year To Date
Current Year	52,505.88	52,505.88
Average Daily Balance	66,150,537.58	66,150,537.58
Effective Rate of Return	0.93%	0.93%

The above investments are consistent with the City's Investment Policy and allowable under current legislation of the State of California. Investments were selected using safety, liquidity and yield as the criteria. The source of the market values for the investments are provided by Union Bank of California in accordance with the California Government Code requirement. The City has sufficient cash flow to cover anticipated expenditures through the next six months.

James Goins, Director of Finance/Treasurer *[Signature]* 9-18-13

**City of Richmond
Portfolio Management
Portfolio Details - Investments
July 31, 2013**

CUSIP	Investment #	Issuer	Average Balance	Purchase Date	Par Value	Market Value	Book Value	Stated Rate	S&P	YTM/365	Days to Maturity	Maturity Date
Local Agency Investment Funds												
11-07-003	200676	L.A.I.F. - TRAN			13.52	13.52	13.52	0.245		0.245	1	
98-07-724	1000	L.A.I.F. - City of Richmond			3,345,708.76	3,345,708.76	3,345,708.76	0.244		0.244	1	
SYS200751	200751	L.A.I.F. - Redevelopment			0.00	0.00	0.00	0.348		0.348	1	
		Subtotal and Average	1,794,454.89		3,345,722.28	3,345,722.28	3,345,722.28			0.244	1	
Certificates of Deposit												
254671NJ5	200788	Discover Bank		05/01/2013	250,000.00	244,762.50	250,000.00	1.150		1.166	1,734	05/01/2018
		Subtotal and Average	250,000.00		250,000.00	244,762.50	250,000.00			1.166	1,734	
Money Markets												
SYS200595	200595	Torrey Pines/Alta Alliance			438,889.67	438,889.67	438,889.67	0.150		0.152	1	
SYS200601	200601	Cal Trust			302,292.15	302,292.15	302,292.15	0.330		0.335	1	
160111894	200581	Wells Fargo Bank			344,953.51	344,953.51	344,953.51	0.100		0.101	1	
431114503	200534	Union Bank of Calif - Safekeep			291.67	291.67	291.67			0.000	1	
		Subtotal and Average	1,489,348.10		1,086,427.00	1,086,427.00	1,086,427.00			0.187	1	
Federal Agency Issues - Coupon												
31331G2Y4	200673	Federal Farm Credit Bank		11/05/2009	3,000,000.00	3,086,750.00	3,000,000.00	2.800	AAA	2.800	461	11/05/2014
3133ECFA7	200767	Federal Farm Credit Bank		02/13/2013	2,500,000.00	2,448,600.00	2,499,200.00	1.080	AAA	1.112	196	02/13/2018
3133ECMF8	200784	Federal Farm Credit Bank		04/25/2013	3,000,000.00	2,907,180.00	2,996,250.00	0.980	AAA	1.106	266	04/24/2018
31333ECU69	200792	Federal Farm Credit Bank		07/09/2013	3,000,000.00	3,000,000.00	3,000,000.00	1.980	AAA	1.980	1,803	07/09/2018
3133813R4	200754	Federal Home Loan Bank		11/09/2012	2,000,000.00	1,962,920.00	1,999,400.00	1.006	AAA	1.012	1,561	11/09/2017
3133813R4	200756	Federal Home Loan Bank		11/09/2012	2,000,000.00	1,962,920.00	1,999,400.00	1.006	AAA	1.012	1,561	11/09/2017
313382FP3	200779	Federal Home Loan Bank		03/20/2013	3,000,000.00	2,924,997.00	2,995,500.00	1.030	AAA	1.181	50	03/20/2018
313382L76	200782	Federal Home Loan Bank		04/16/2013	3,000,000.00	2,932,050.00	2,998,500.00	1.104	AAA	1.114	1,719	04/16/2018
313382GQ0	200785	Federal Home Loan Bank		04/24/2013	3,000,000.00	2,931,120.00	3,000,000.00	1.050	AAA	1.050	50	03/20/2018
3134G33Y4	200786	Federal Home Loan Mortgage Cor		01/22/2013	2,000,000.00	1,952,580.00	1,987,000.00	0.950	AAA	1.026	539	01/22/2018
3134G42G2	200786	Federal Home Loan Mortgage Cor		04/30/2013	3,000,000.00	2,910,180.00	3,000,300.00	1.050	AAA	1.040	272	04/30/2018
3134G43V82	200787	Federal Home Loan Mortgage Cor		05/15/2013	3,000,000.00	2,974,350.00	3,000,000.00	1.050	AAA	1.050	1,748	05/15/2018
3134G43V8	200789	Federal Home Loan Mortgage Cor		05/15/2013	3,000,000.00	2,923,350.00	3,000,000.00	1.050	AAA	1.050	108	05/15/2018
31398AZ54	200688	Federal National Mortgage Asso		08/04/2010	3,000,000.00	3,099,930.00	2,998,950.00	2.150	AAA	2.157	733	08/04/2015
3135G0MNO	200745	Federal National Mortgage Asso		06/29/2012	3,000,000.00	2,972,130.00	3,000,000.00	1.125	AAA	1.125	1,427	06/28/2017
3136G0UN9	200746	Federal National Mortgage Asso		07/26/2012	3,000,000.00	2,948,550.00	3,000,000.00	1.010	AAA	1.010	1,455	07/26/2017
3135G0MWO	200747	Federal National Mortgage Asso		08/09/2012	2,500,000.00	2,459,925.00	2,500,000.00	1.050	AAA	1.050	8	08/09/2017
3135G0MWO	200749	Federal National Mortgage Asso		08/09/2012	2,500,000.00	2,459,925.00	2,500,000.00	1.050	AAA	1.050	8	08/09/2017

Portfolio CITY
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**City of Richmond
Portfolio Management
Portfolio Details - Investments
July 31, 2013**

CUSIP	Investment #	Issuer	Average Balance	Purchase Date	Par Value	Market Value	Book Value	Stated Rate	S&P	YTM/C 365 Maturity	Days to Maturity	
		Subtotal and Average	48,710,306.45		49,500,000.00	48,867,457.00	49,484,500.00			1.295	770	
Certificates of Deposit-Bank												
05568P2U0	200775	BMW BANK		03/04/2013	250,000.00	248,232.50	250,000.00	0.700		0.700	950	
38147JBJ0	200774	GOLDMAN SACHS		03/04/2013	250,000.00	248,995.00	250,000.00	0.650		0.650	774	
		Subtotal and Average	500,000.00		500,000.00	497,227.50	500,000.00			0.675	862	
Corporate Notes												
36962G5T74	200793	General		02/08/2012	2,000,000.00	2,015,460.00	2,000,000.00	1.550		1.550	921	
		Subtotal and Average	2,000,000.00		2,000,000.00	2,015,460.00	2,000,000.00			1.550	921	
Sweep Account												
1001	1001	Mechanics Bank		04/12/2006	858,507.84	858,507.84	858,507.84	0.070		0.070	1	
		Subtotal and Average	9,951,334.19		858,507.84	858,507.84	858,507.84			0.070	1	
		Total and Average	66,150,537.58		57,540,657.12	56,915,564.12	57,525,157.12			1.198	710	

**City of Richmond
Portfolio Management
Portfolio Details - Cash
July 31, 2013**

CUSIP	Investment #	Issuer	Average Balance	Purchase Date	Par Value	Market Value	Book Value	Stated Rate	S&P	YTM/C 365 Maturity	Days to Maturity
SYS200723	200723	Mechanics Bank	0.00	07/01/2013	101,279.03	101,279.03	101,279.03			0.000	1
		Average Balance		Accrued Interest at Purchase		81.67	81.67				1
		Subtotal				101,360.70	101,360.70				
Total Cash and Investments					57,641,936.15	57,016,924.82	57,626,517.82			1.198	710

CITY OF RICHMOND
 CASH BALANCES BY FUND (before FY 2013 Closing - run date 09/16/13)
 COMPARATIVE REPORT - JULY 2013 AND JULY 2012

FUND	FUND DESCRIPTION	JULY 2012		JULY 2013 (Before FY2013 CLOSE)		% Change
		AMOUNT	TOTAL	AMOUNT	TOTAL	
GENERAL FUND						
0001	GENERAL FUND	37,093,081.18	37,093,081.18	29,724,166.96	29,724,166.96	80.1%
SPECIAL REVENUE FUNDS						
1001	SECURED PENSION OVERRIDE	1,212,097.63		2,154,201.70		
1002	STATE GAS TAX	3,936,641.87		2,157,289.58		
1003	PARATRANSIT OPERATIONS	(426,812.53)		(957,446.42)		
1004	ASSET SEIZURE FUND	291,752.62		423,283.71		
1005	LIBRARY FUND	637,114.47		601,985.77		
1006	OUTSIDE FUNDED SVCS - GRANTS	521,634.83		195,055.94		
1007	EMERGENCY MED SERV	163,900.32		109,441.36		
1008	PT MOLATE SEC & MAINT	(375,372.39)		(364,758.04)		
1009	VEOLIA MITIGATION	58,454.33		90,288.41		
1010	N.RICHMOND WASTE	(154,729.30)		156,706.63		
1011	OUTSIDE FUNDED SVCS - DONATION	39,300.68		26,862.17		
1012	HILLTOP LANDSCAPE MAINT DIST	753,429.27		325,378.79		
1013	HAZMAT FUND	342,691.67		652,500.79		
1014	EDA GRANT FUND	166,362.29		282,459.70		
1015	MARINA BAY LNDSCP & LIGHT DIST	682,632.85		564,767.44		
1016	DOWNTOWN BUSINESS IMPRV DIST			67,519.36		
1050	CR-PLANNING & BUILDING	(4,330,542.93)		(5,999,343.38)		
1051	CR-ENGINEERING	774,503.91		977,643.40		
1053	CR-CODE ENFORCEMENT	(2,371,224.87)		(5,740,178.50)		
1054	ENGINEERING GRANTS	1,770,980.26		358,602.25		
1200	HOUSING ADMINISTRATION			(564,714.95)		
1201	CDBG	839,271.74		346,312.65		
1202	HOME PROGRAM	19,520.63		(136,080.52)		
1203	NEIGHBORHOOD STABILIZATION	(0.24)		25,013.01		
1204	CDBG RECOVERY	14,151.56				
1205	EMPLOYMENT & TRAINING	479,862.22		897,774.58		
1206	CDBG HOMELESS PREV RAPID RESP			0.54		
1207	COR-NEIGHBORHOOD STAB(CITY)	(352,208.00)		(403,259.69)		
1208	SUCCESSOR HOUSING AGENCY	64,736.85		293,742.25		
1209	CAL-HOME GRANT FUND	(606,207.64)		(644,359.90)		
			4,151,942.10		(4,103,311.37)	-98.8%

FUND FUND DESCRIPTION AMOUNT TOTAL AMOUNT TOTAL %
Change

CAPITAL PROJECT FUNDS

2001	GENERAL CAPITAL FUND	4,859,841.92		3,844,877.29	
2002	MEASURE J	864,557.40		907,784.74	
2003	CIP LSE REV BOND 01	605,945.69		76,929.60	
2004	CIVIC CENTER	1,092,282.14		83,601.67	
2006	PARKS FUND	(1,038,081.77)		(1,038,081.77)	
2007	HARBOR FUND	1,086,041.46		773,699.05	
2008	TRANSPORTATION FUND	41,187.38		1,041.99	
2009	STATE HIGHWAY FUND	670,181.11		125,888.54	
2110	IMPACT FEE - PARKS	1,951,594.73		1,255,048.42	
2111	IMPACT FEE - TRAFFIC	(2,860,659.79)		(2,859,091.79)	
2113	IMPACT FEE - FIRE	47,824.81		48,597.07	
2114	IMPACT FEE - POLICE	120,823.64		120,788.81	
2115	IMPACT FEE - COMM/AQUATC	241,079.27		127,033.47	
2116	IMPACT FEE - PARKS/OPEN	(660,401.63)		(654,864.63)	
2117	IMPACT FEE - LIBRARY	219,576.02		124,614.32	
2118	IMPACT FEE - HILLTOP	(2,127.50)		(2,127.50)	
2119	IMPACT FEE - STORM DRAINAGE	(183,588.29)		(180,698.29)	
2125	SECTION 108 IRON TRIANGLE	1,510,867.41	8,566,944.00	1,510,867.41	49.8%

DEBT SERVICE FUNDS

3001	DS - 2005 TAXABLE POB	6,065,609.00		7,101,637.42	
3002	DS - 99A PENSION OBLIG BOND	1,919,550.79		(12,806.71)	
3003	DS - 95A SER REV RFDG BDS	733,718.13		-	
3005	DS-2007 REFNDING&CVC CTR	(476,397.53)	8,242,480.39	1,627,518.88	105.7%

ENTERPRISE FUNDS

4001	PORT OF RICHMOND	5,899,948.71		1,594,876.30	
4003	WASTEWATER	36,705,182.23		34,132,384.76	
4005	MARINA	2,618,655.73		2,685,492.30	
4006	STORMWATER	(1,935,107.41)		(1,661,747.71)	
4008	KCRT - CABLE TELEVIS	152,668.90	43,441,348.16	199,669.76	85.1%

%
Change

TOTAL

AMOUNT

TOTAL

AMOUNT

FUND DESCRIPTION

FUND

INTERNAL SERVICE FUNDS

5001	INSURANCE RESERVES	24,560,379.00		15,464,694.65	
5002	INFORMATION TECHNOLOGY	2,846.93			
5003	EQUIPMENT SERVICES	1,314,776.71		2,229,208.88	
5004	FACILITIES MANAGEMENT	-			
5005	CAD DISPATCH SYSTEM	582,204.60		713,176.76	
5006	RMS RECORDS SERVICES	204,403.25		171,746.06	
5007	800 MHZ TELECOM SYSTEM	6,468.34	26,671,078.83	-	69.7%
				18,578,826.35	

TRUST & AGENCY FUNDS

6001	GENERAL TRUST & AGENCY	-		1,920.00	
6002	JOHNSON TRUST	10,070.52		10,153.12	
6003	CUBA FRIENDSHIP	1,951.76		1,967.78	
6004	COMMISSION ON AGING	34,726.33		37,080.38	
6005	SEAVER GALLERY TRUST FUND	133,391.45		135,610.44	
6006	POLICE GENERAL TRUST	1,130,172.90		971,687.63	
6007	SENIOR TRUST DEPOSIT	39,894.99		45,925.13	
6049	PT MOLATE NAVY GRANT	21,498,335.15		19,729,256.04	
6050	GENERAL PENSION FUND	907,274.42		982,005.46	
6051	POLICE & FIRE PENSION	15,223,636.88		17,564,188.10	
6052	GARFIELD PENSION FUND	245,377.00		160,586.93	
6055	HILLTOP REDEMPTION F	-		(1,593.04)	
6056	SAN PABLO 854 ASSES	247,693.30		249,724.98	
6057	HARBOR NAVIGATION IM	584,313.94		572,409.81	
6058	MARINA WESTSHORE DEB	835,024.97		841,414.84	
6059	COUNTRY CLUB VISTA	1,496,477.83		1,509,849.77	
6060	REASSESSMENT DISTRICT 2003-1	2,624,597.39		2,697,215.67	
6999	PAYROLL LIABILITIES FUND	1,281,307.52	46,294,246.35	1,176,642.00	100.8%
				46,686,045.04	

SUCCESSOR AGENCY - FIDUCIARY FUNDS

6101	SA - PROPERTY TAX TRUST FUND	5,706,423.87		8,897,839.92	
6102	SA-ADMINISTRATION	78,829.14		(126,552.73)	
6103	SA-CAPITAL PROJECT FUNDS	3,081,950.87		2,652,609.68	
6104	SA-LOW/MOD INCOME HOUSING	4,142,579.19		2,486,484.49	
6105	SA-WELLS FARGO 2002 LOAN			-	
6201	SA-CIP 00A TAR BND PRCDS-JPFA	689,905.88		372,752.89	
6202	SA-CIP 00B TAR BND PRCDS-HSNG	945,057.01		945,107.34	
6203	SA-CIP 03B TAR BND PRCDS	308,258.89		308,274.16	
6204	SA-CIP 04A TAR BND PRCDS	1,634,429.90		829,922.77	
6205	SA-CIP 04A TAR BND PRCDS-HSG	756,477.95		276,698.16	
6206	SA-CIP 04B TAR BND PRCDS-HSG	1,218,447.97		1,218,510.93	
6207	SA-CIP 07A TAR BND PRCDS	2,964,792.76		1,400,149.04	

%
Change

TOTAL

AMOUNT

TOTAL

AMOUNT

FUND DESCRIPTION

FUND

6208	SA-CIP 07B TAR BND PRCD5-HSG	2,107,444.45	2,127,678.84		
6300	SA-DS HARBOR 98 TAR B	3,104,041.67	3,121,559.17		
6301	SA-DS 2000A TAR B	1,857,442.43	2,707,457.42		
6302	SA-DS 2000B TAR B - HOUSING	494,681.62	677,000.70		
6303	SA-DS 2003A TAR B	844,462.68	1,094,119.47		
6304	SA-DS 2003B TAR B	656,452.84	877,579.27		
6305	SA-DS 2004A TAR B 2/3	1,849,710.62	1,903,868.88		
6306	SA-DS 2004A TAR B -1/3 HSING	924,855.31	951,780.52		
6307	SA-DS 2004B TAR B - HOUSING	199,970.55	239,670.44		
6308	SA-DS 2007/10A TAR B	6,995,656.86	7,927,019.72		
6309	SA-DS 2007/10B TAR B - HOUSING	2,273,838.25	2,313,300.55		
6996	SUCCESSOR AGENCY - GLTDAG				
6997	SUCCESSOR AGENCY - GFAAG				
		<u>42,835,710.71</u>	<u>43,202,831.63</u>		<u>100.9%</u>
SUCCESSOR AGENCY - RCRA OLD FUNDS (Note: RCRA Payroll & A/P are still posting to these old Funds)					
7001	COR SUCCESSOR AGENCY ADMIN				
7101	RCRA ADMIN	(74,782.34)	(2,542.14)		
7102	HSNG ADMIN	(204,387.15)	(457.72)		
7201	CIP/RCRA	(1,572,043.09)			
7202	CIP/HSNG	(129,740.45)			
7209	CIP/DTOWN	(15,829.00)	(1,043.12)		
7310	CIP/HAR11A	(232,253.19)			
9998	RCRA TREASURY			(4,042.98)	0.2%
		<u>(2,229,035.22)</u>	<u>(8,371,982.17)</u>		<u>108.8%</u>
RICHMOND HOUSING AUTHORITY					
8101	RHA - CONVENTIONAL FUND	(7,696,735.24)			
		<u>(7,696,735.24)</u>	<u>(8,371,982.17)</u>		<u>108.8%</u>
TREASURER'S FUNDS					
9999	TREASURER'S FUND -	77,401.54	31,412.66		40.6%
		<u>77,401.54</u>	<u>31,412.66</u>		<u>84.7%</u>
TOTAL CASH		<u>207,448,462.80</u>	<u>175,676,879.52</u>		
		207,448,462.80	175,676,879.52		