



FINANCE DEPARTMENT

APPROVAL SLIP

[Signature] 3/19/15
Finance Director/Treasurer Date

[Signature] 3/18/15
Senior Accountant Date

Tracey T. Angelo 3/18/15
Treasury Manager Date

Chimire Okohi 3/18/15
Accountant II Date



Finance Department – Treasury Division

M E M O R A N D U M

To: Mayor and Members of the City Council

From: Belinda Warner, Finance Director/Treasurer *BW*
Tracey Angelo, Investment Analyst *T.A.*

Date: March 19, 2015

Subject: MONTHLY CASH BALANCES & INVESTMENT REPORTS –
January 2015

Enclosed for your information are the Portfolio Summary, Investment Report, and Cash Report for the month of January 2015 prepared by the City Treasurer pursuant to the statutory requirements of the State of California.

City of Richmond
 Investment Report for JANUARY 20145
 Pooled Investment Portfolio Analysis
 UNAUDITED

Investment Composition:

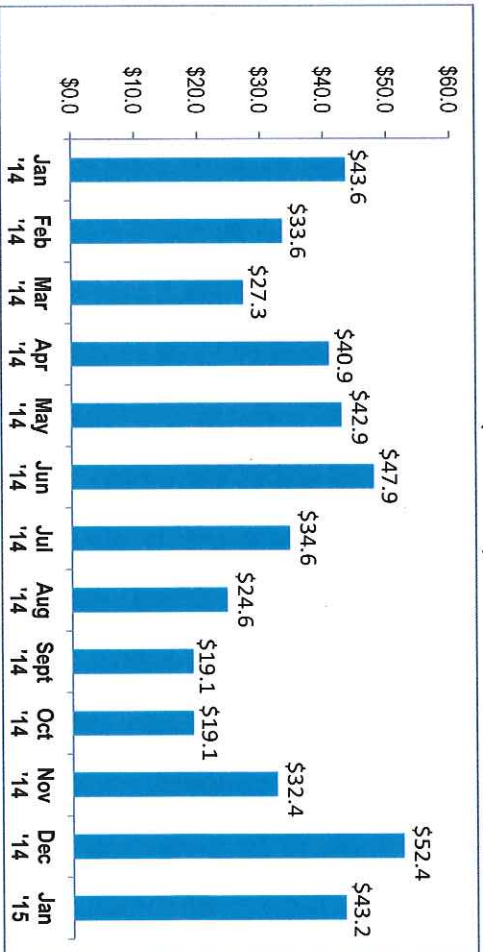
Book Value of Investments Held	43,169,645.67
Market Value of Investments Held	43,179,980.67
Unrealized Gain (Loss) - amount	10,335.00
Unrealized Gain (Loss) - percentage	0.02%

	%	Amount
Federal Agency Securities BK Val	32.40%	13,989,050.00
Money Market	64.50%	27,843,859.69
LAI F	1.36%	586,735.98
Certificates of Deposit	1.74%	750,000.00
	<u>100.00%</u>	<u>43,169,645.67</u>

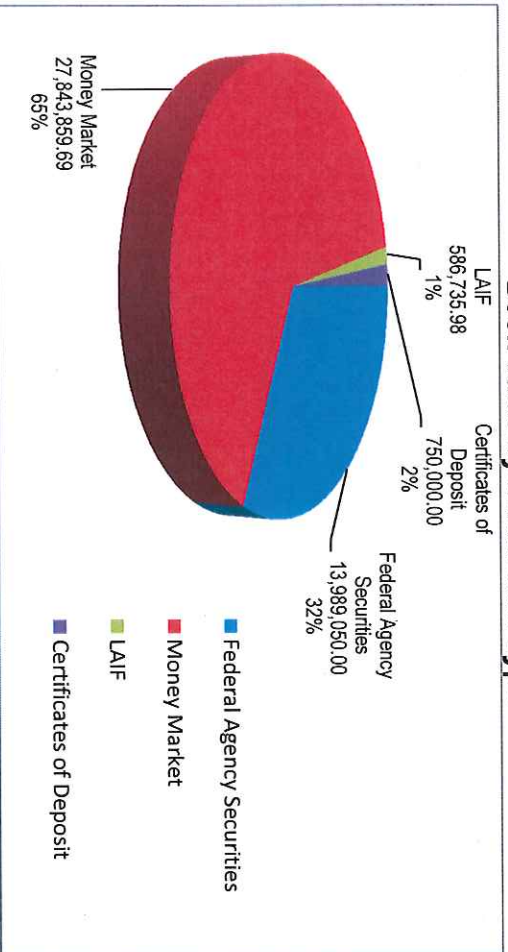
Cash Summary:

Pooled Investments	43,169,645.67
Fiscal Agent & Other Restricted Investments:	
City	73,137,858.79
Successor Agency to the RCRA	29,836,182.08
Total Fiscal Agent & Other Investments	<u>102,974,040.87</u>
Checking Accounts & Other Activity	4,220,015.18
Total Cash Balance (detail attached)	<u>150,363,701.72</u>

Book Value History
 (in millions)



Book Value by Investment Type





**City of Richmond
Portfolio Management
Portfolio Summary
January 31, 2015**

City of Richmond
Finance Department
450 Civic Center Plaza
Richmond, CA 94804
(510)620-6740

Investments	Par Value	Market Value	Book Value	% of Portfolio	Term	Days to Maturity	360 Equiv. YTM/C	365 Equiv. YTM/C
Local Agency Investment Funds	586,735.98	586,735.98	586,735.98	1.36	1	1	0.258	0.262
Money Markets	27,843,859.69	27,843,859.69	27,843,859.69	64.50	1	1	0.391	0.397
Federal Agency Issues - Coupon	14,000,000.00	14,001,540.00	13,989,050.00	32.40	1,767	1,026	1.135	1.150
Certificates of Deposit	750,000.00	747,845.00	750,000.00	1.74	1,286	604	0.822	0.833
Investments	43,180,595.67	43,179,980.67	43,169,645.67	100.00%	596	344	0.638	0.647

Cash and Accrued Interest								
Passbook/Checking (not included in yield calculations)	5,426,805.19	5,426,805.19	5,426,805.19		1	1	0.000	0.000
Accrued Interest at Purchase		81.67	81.67					
Subtotal		5,426,886.86	5,426,886.86					
Total Cash and Investments	48,607,400.86	48,606,867.53	48,596,532.53		596	344	0.638	0.647

Total Earnings	January 31 Month Ending	Fiscal Year To Date
Current Year	24,058.06	121,790.07
Average Daily Balance	55,466,310.26	39,404,076.52
Effective Rate of Return	0.51%	0.52%

The above investments are consistent with the City's Investment Policy and allowable under current legislation of the State of California. Investments were selected using safety, liquidity and yield as the criteria. The source of the market values for the investments are provided by Union Bank of California in accordance with the California Government Code requirement. The City has sufficient cash flow to cover anticipated expenditures through the next six months.

Belinda Warner
Belinda Warner, Director of Finance/Treasurer

3/19/15

Reporting period 01/01/2015-01/31/2015

Run Date: 03/19/2015 - 11:03

City of Richmond
Portfolio Management
Portfolio Details - Investments
January 31, 2015

CUSIP	Investment #	Issuer	Average Balance	Purchase Date	Par Value	Market Value	Book Value	Stated Rate	S&P	YTM/C 365	Days to Maturity	
Local Agency Investment Funds												
11-07-003	200676	L.A.I.F. - TRAN	13.58		13.58	13.58	13.58	0.262		0.262	1	
98-07-724	1000	L.A.I.F. - City of Richmond	586,722.40		586,722.40	586,722.40	586,722.40	0.262		0.262	1	
		Subtotal and Average	586,735.98		586,735.98	586,735.98	586,735.98			0.262	1	
Money Markets												
SYS200595	200595	Torrey Pines/Alta Alliance	439,953.52		439,953.52	439,953.52	439,953.52	0.200		0.203	1	
SYS200602	200810	CALTRUST TRAN	15,944,068.59	11/24/2014	15,944,068.59	15,944,068.59	15,944,068.59	0.390		0.395	1	
SYS200601	200601	Cal Trust	11,114,073.17		11,114,073.17	11,114,073.17	11,114,073.17	0.410		0.416	1	
160111894	200581	Wells Fargo Bank	345,472.74		345,472.74	345,472.74	345,472.74	0.100		0.101	1	
431114503	200534	Union Bank of Calif - Safekeep	291.67		291.67	291.67	291.67			0.000	1	
		Subtotal and Average	34,341,973.37		27,843,859.69	27,843,859.69	27,843,859.69			0.397	1	
Federal Agency Issues - Coupon												
3133ECMF8	200784	Federal Farm Credit Bank	3,000,000.00	04/25/2013	3,000,150.00	3,000,150.00	2,996,250.00	0.980	AAA	1.006	1,178 04/24/2018	
313382FP3	200779	Federal Home Loan Bank	3,000,000.00	03/20/2013	2,974,710.00	2,974,710.00	2,995,500.00	1.030	AAA	1.061	1,143 03/20/2018	
3134G33Y4	200766	Federal Home Loan Mortgage Cor	2,000,000.00	01/22/2013	2,000,340.00	2,000,340.00	1,997,000.00	0.950	AAA	0.981	1,086 01/22/2018	
3134G42G2	200786	Federal Home Loan Mortgage Cor	3,000,000.00	04/30/2013	2,990,310.00	2,990,310.00	3,000,300.00	1.050	AAA	1.048	1,184 04/30/2018	
3136G23H8	200809	Federal National Mortgage Asso	3,000,000.00	08/15/2014	3,036,030.00	3,036,030.00	3,000,000.00	1.600	AAA	1.600	561 11/15/2018	
		Subtotal and Average	13,989,050.00		14,001,540.00	14,001,540.00	13,989,050.00			1.150	1,026	
Certificates of Deposit												
05568P2U0	200775	BMW BANK	250,000.00	03/04/2013	250,000.00	249,995.00	250,000.00	0.700		0.700	401 03/08/2016	
254671NLS	200788	Discover Bank	250,000.00	04/23/2013	250,000.00	247,637.50	250,000.00	1.150		1.150	1,185 05/01/2018	
38147JBU0	200774	GOLDMAN SACHS	250,000.00	03/04/2013	250,000.00	250,212.50	250,000.00	0.650		0.650	225 09/14/2015	
		Subtotal and Average	750,000.00		747,845.00	747,845.00	750,000.00			0.833	604	
		Total and Average	55,466,310.26		43,180,595.67	43,179,980.67	43,169,645.67			0.647	344	

City of Richmond
Portfolio Management
Portfolio Details - Cash
January 31, 2015

CUSIP	Investment #	Issuer	Average Balance	Purchase Date	Par Value	Market Value	Book Value	Stated Rate	S&P	YTM/C	Days to Maturity
Cash In Banks											
SYS200723	200723	Mechanics Bank	0.00		5,426,805.19	5,426,805.19	5,426,805.19			0.000	1
						81.67	81.67				1
				Accrued Interest at Purchase		5,426,886.86	5,426,886.86				
				Subtotal							
		Total Cash and Investments	55,466,310.26		48,607,400.86	48,606,867.53	48,596,532.53			0.647	344

CITY OF RICHMOND
 CASH BALANCES BY FUND
 COMPARATIVE REPORT - JANUARY 2014 AND JANUARY 2015

FUND	FUND DESCRIPTION	JANUARY 2014		JANUARY 2015		% Change
		AMOUNT	TOTAL	AMOUNT	TOTAL	
GENERAL FUND						
0001	GENERAL FUND	23,792,799.77	23,792,799.77	21,634,584.18	21,634,584.18	90.9%
SPECIAL REVENUE FUNDS						
1001	SECURED PENSION OVERRIDE	(2,252,577.34)		(3,586,092.40)		
1002	STATE GAS TAX	1,662,427.32		1,630,609.69		
1003	PARATRANSIT OPERATIONS	(895,403.21)		(409,826.42)		
1004	ASSET SEIZURE FUND	446,634.99		644,074.06		
1005	LIBRARY FUND	719,472.96		619,867.43		
1006	OUTSIDE FUNDED SVCS - GRANTS	(533,407.74)		(318,496.79)		
1007	EMERGENCY MED SERV	91,573.75		184,410.46		
1008	PT MOLATE SEC & MAINT	(364,758.04)		(364,758.04)		
1009	VEOLIA MITIGATION	101,962.54		87,487.98		
1010	N.RICHMOND WASTE	113,956.58		(253,304.49)		
1011	OUTSIDE FUNDED SVCS - DONATION	20,647.64		(6,039.45)		
1012	HILLTOP LANDSCAPE MAINT DIST	435,847.65		300,616.24		
1013	HAZMAT FUND	640,996.49		691,532.43		
1014	EDA GRANT FUND	335,280.42		352,938.32		
1015	MARINA BAY LANDSCP & LIGHT DIST	778,158.21		623,708.13		
1016	DOWNTOWN BUSINESS IMPRV DIST	(30,145.68)				
1017	Chevron Modernization Project			1,000,000.00		
1050	CR-PLANNING & BUILDING	(4,376,855.03)		(6,262,031.04)		
1051	CR-ENGINEERING	(112,869.89)		(1,940,254.57)		
1053	CR-CODE ENFORCEMENT	(4,451,043.73)		(2,923,686.28)		
1054	ENGINEERING GRANTS	1,845,295.79		1,465,932.87		
1200	HOUSING ADMINISTRATION	(333,668.25)		(332,702.22)		
1201	CDBG	114,342.01		(264,365.85)		

JANUARY 2014

JANUARY 2015

FUND	FUND DESCRIPTION	AMOUNT	TOTAL	AMOUNT	TOTAL	% Change
1202	HOME PROGRAM	(794,225.12)		(786,997.12)		
1203	NEIGHBORHOOD STABILIZATION	22,881.07		(21,914.23)		
1204	CDBG RECOVERY	-		-		
1205	EMPLOYMENT & TRAINING	1,381,446.22		1,698,343.95		
1206	CDBG HOMELESS PREV RAPID RESP	0.54		0.54		
1207	COR-NEIGHBORHOOD STAB(CITY)	(491,299.35)		(814,324.23)		
1208	SUCCESSOR HOUSING AGENCY	410,329.76		640,154.50		
1209	CAL-HOME GRANT FUND	65,020.39	(5,449,979.05)	155,320.39	(8,189,796.14)	150.3%

CAPITAL PROJECT FUNDS

2001	GENERAL CAPITAL FUND	6,734,856.70		4,375,371.33		
2002	MEASURE J	231,779.79		665,979.30		
2003	CIP LSE REV BOND 01	77,247.00		12.30		
2004	CIVIC CENTER	1,773.33		0.36		
2006	PARKS FUND	(1,038,081.77)		(1,038,081.77)		
2007	HARBOR FUND	775,481.67		774,530.24		
2008	TRANSPORTATION FUND	1,046.39		0.21		
2009	STATE HIGHWAY FUND	44,546.52		9.13		
2110	IMPACT FEE - PARKS	1,205,073.34		635,703.02		
2111	IMPACT FEE - TRAFFIC	(2,857,523.79)		(1,832,601.71)		
2113	IMPACT FEE - FIRE	49,178.39		121,464.82		
2114	IMPACT FEE - POLICE	122,104.36		188,584.17		
2115	IMPACT FEE - COMM/AQUATC	111,463.27		178,482.78		
2116	IMPACT FEE - PARKS/OPEN	(649,327.63)		(296,999.63)		
2117	IMPACT FEE - LIBRARY	123,167.90		228,420.21		
2118	IMPACT FEE - HILLTOP	-		23,166.62		
2119	IMPACT FEE - STORM DRAINAGE	(177,243.29)		396,636.94		
2125	SECTION 108 IRON TRIANGLE	1,511,434.99		1,511,948.94		
2126		-	6,266,977.17	779,403.05	6,712,030.31	107.1%

JANUARY 2014

JANUARY 2015

FUND	FUND DESCRIPTION	JANUARY 2014		JANUARY 2015		% Change
		AMOUNT	TOTAL	AMOUNT	TOTAL	
DEBT SERVICE FUNDS						
3001	DS - 2005 TAXABLE POB	8,632,779.16		8,573,291.58		
3002	DS - 99A PENSION OBLIG BOND	453,362.79		403,271.29		
3003	DS - 95A SER REV RFDG BDS					
3005	DS-2007 REFUNDING&CVC CTR	2,117,399.06	11,203,541.01	5,554,361.18	14,530,924.05	129.7%
ENTERPRISE FUNDS						
4001	PORT OF RICHMOND	7,801,553.01		5,762,507.08		
4003	WASTEWATER	33,509,255.75		30,287,729.36		
4005	MARINA	2,957,932.87		3,067,479.13		
4006	STORMWATER	(1,844,331.32)		(2,412,856.67)		
4008	KCRT - CABLE TELEVIS	396,058.05		513,620.83		
4101	RHA-HSNG CHOICE VOUCHER-SEC 8			1,048,357.27		
4102	RHA-HOPE 6			(198,372.04)		
4103	RHA-PROJECT REAL			(32,985.49)		
4201	RHA-CAPITAL FUND			541,667.77		
4401	RHA-HACIENDA			(338,809.47)		
4402	RHA-FRIENDSHIP MANOR			(123,735.31)		
4403	RHA-TRIANGLE COURT			(191,505.77)		
4404	RHA-NEVIN PLAZA			(388,534.65)		
4405	RHA-NYSTROM VILLAGE			(163,941.63)		
4406	RHA-RICHMOND VILLAGE ONE			(56,224.57)		
4407	RHA-RICHMOND VILLAGE TWO			(17,595.00)		
4408	RHA-RICHMOND VILLAGE THREE			(22,554.00)		
4409	RHA-LOCAL FUND			(2,857.36)		
4501	RHA-CENTRAL OFFICE COST CNTR		42,820,468.36	(743,201.47)	36,528,188.01	85.3%

INTERNAL SERVICE FUNDS

5001	INSURANCE RESERVES	13,529,559.01		19,966,830.39		
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JANUARY 2014

JANUARY 2015

FUND	FUND DESCRIPTION	AMOUNT	TOTAL	AMOUNT	TOTAL	% Change
5003	EQUIPMENT SERVICES	2,013,335.87		535,177.67		
5004	FACILITIES MANAGEMENT	-		(3,998.65)		
5005	CAD DISPATCH SYSTEM	244,594.22		670,048.20		
5006	RMS RECORDS SERVICES	166,070.49		190,444.07		
5007	800 MHZ TELECOM SYSTEM	-	15,953,559.59	-	21,358,501.68	133.9%

TRUST & AGENCY FUNDS

6001	GENERAL TRUST & AGENCY	1,927.95		15,661.29		
6002	JOHNSON TRUST	10,195.02		10,171.04		
6003	CUBA FRIENDSHIP	1,975.92		1,971.25		
6004	COMMISSION ON AGING	41,655.00		39,481.71		
6005	SEAVER GALLERY TRUST FUND	65,953.24		111,598.58		
6006	POLICE GENERAL TRUST	1,002,295.04		936,635.64		
6007	SENIOR TRUST DEPOSIT	49,375.75		51,582.52		
6049	PT MOLATE NAVY GRANT	19,354,089.68		15,792,592.60		
6050	GENERAL PENSION FUND	679,115.48		644,931.79		
6051	POLICE & FIRE PENSION	16,120,139.96		11,543,459.25		
6052	GARFIELD PENSION FUND	117,603.04		208,833.79		
6055	HILLTOP REDEMPTION F	(1,687.50)		-		
6056	SAN PABLO 864 ASSES	250,754.80		250,165.03		
6057	HARBOR NAVIGATION IM	505,085.46		507,024.28		
6058	MARINA WESTSHORE DEB	791,957.51		782,368.18		
6059	COUNTRY CLUB VISTA	1,382,585.15		1,377,264.46		
6060	REASSESSMENT DISTRICT 2003-1	2,477,875.43		2,583,588.73		
6999	PAYROLL LIABILITIES FUND	2,847,404.97	45,698,301.90	1,688,882.77	36,546,212.91	80.0%

SUCCESSOR AGENCY - FIDUCIARY FUNDS

6101	SA - PROPERTY TAX TRUST FUND	7,887,081.50		9,702,168.94		
6102	SA-ADMINISTRATION	(790,919.58)		(436,299.43)		

JANUARY 2014

JANUARY 2015

FUND	FUND DESCRIPTION	JANUARY 2014		JANUARY 2015		% Change
		AMOUNT	TOTAL	AMOUNT	TOTAL	
6103	SA-CAPITAL PROJECT FUNDS	(10,259,740.44)		(2,869,420.80)		
6104	SA-LOW/MOD INCOME HOUSING	2,506,486.49		-		
6201	SA-CIP 00A TAR BND PRCD S-JPFA	17.78		-		
6202	SA-CIP 00B TAR BND PRCD S-HSNG	945,155.69		58.76		
6203	SA-CIP 03B TAR BND PRCD S	308,288.37		-		
6204	SA-CIP 04A TAR BND PRCD S	40.77		-		
6205	SA-CIP 04A TAR BND PRCD S-HSG	276,713.02		276,749.09		
6206	SA-CIP 04B TAR BND PRCD S-HSG	614,746.65		610,798.65		
6207	SA-CIP 07A TAR BND PRCD S	578,728.97		126,864.01		
6208	SA-CIP 07B TAR BND PRCD S-HSG	2,107,831.50		591,672.81		
6300	SA-DS HARBOR 98 TAR B	1,335,210.53		1,212,178.80		
6301	SA-DS 2000A TAR B	4,114,392.22		-		
6302	SA-DS 2000B TAR B - HOUSING	1,054,514.71		-		
6303	SA-DS 2003A TAR B	2,004,113.20		11.00		
6304	SA-DS 2003B TAR B	1,720,666.71		661,070.79		
6305	SA-DS 2004A TAR B 2/3	1,841,917.12		1,839,170.34		
6306	SA-DS 2004A TAR B -1/3 HSING	920,804.68		919,430.79		
6307	SA-DS 2004B TAR B - HOUSING	338,590.55		200,009.04		
6308	SA-DS 2007/10A TAR B	8,306,823.24		7,988,033.44		
6309	SA-DS 2007/10B TAR B - HOUSING	1,600,098.48		1,673,114.13		
6401		-		1,289,774.31		
6402		-		92,062.46		
6403		-		-		

SUCCESSOR AGENCY - RCRA OLD FUNDS (Note: RCRA Payroll & A/P are still posting to these old Funds)

7001	COR SUCCESSOR AGENCY ADMIN
7101	RCRA ADMIN
7102	HSNG ADMIN
7201	CIP/RCRA
7202	CIP/HSNG
7209	CIP/DTOWN

FUND	FUND DESCRIPTION	JANUARY 2014		JANUARY 2015		% Change
		AMOUNT	TOTAL	AMOUNT	TOTAL	
7310	CIP/HAR11A		27,411,562.16		23,877,447.13	87.1%
9998	RCRA TREASURY					
<u>RICHMOND HOUSING AUTHORITY</u>						
8101	RHA - CONVENTIONAL FUND	(9,117,527.91)	(9,117,527.91)	(2,621,071.76)	(2,621,071.76)	28.7%
<u>TREASURER'S FUNDS</u>						
9999	TREASURER'S FUND -	(408,329.89)	(408,329.89)	(13,318.65)	(13,318.65)	3.3%
TOTAL CASH			158,171,373.11		150,363,701.72	95.1%