



**Finance Department – Treasury Division**

**MEMORANDUM**

**To: Mayor and Members of the City Council**

**From: Belinda Warner, Finance Director/Treasurer**

**Date: December 4, 2018**

**Subject: MONTHLY CASH BALANCES & INVESTMENT REPORT –  
October 2018**

**Enclosed for your information are the Investment Report, Portfolio Summary, and Comparative Cash Report for the month of October 2018 prepared by the City Treasurer pursuant to the statutory requirements of the State of California.**



City of Richmond  
 Investment Report for October 2018  
 Pooled Investment Portfolio Analysis  
 UNAUDITED

**Investment Composition:**

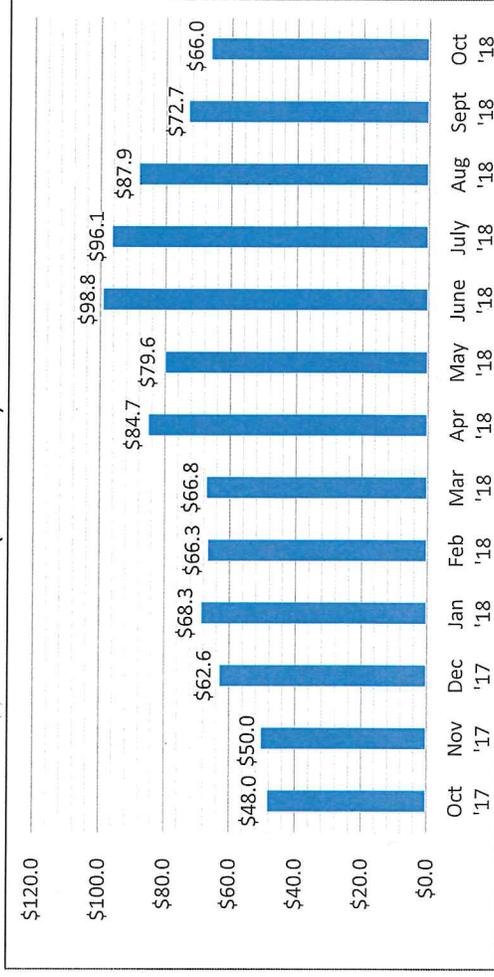
Book Value of Investments Held	66,081,303.15
Market Value of Investments Held	66,081,303.15
Unrealized Gain (Loss) - amount	-
Unrealized Gain (Loss) - percentage	0.00%

	Book Value	%
Federal Agency Securities	-	0.00%
Money Market	24,864,053.60	37.63%
Local Agency Investment Fund (LAIF)	41,217,249.55	62.37%
Certificates of Deposit	-	0.00%
<b>Total Investments Held</b>	<b>66,081,303.15</b>	<b>100.00%</b>

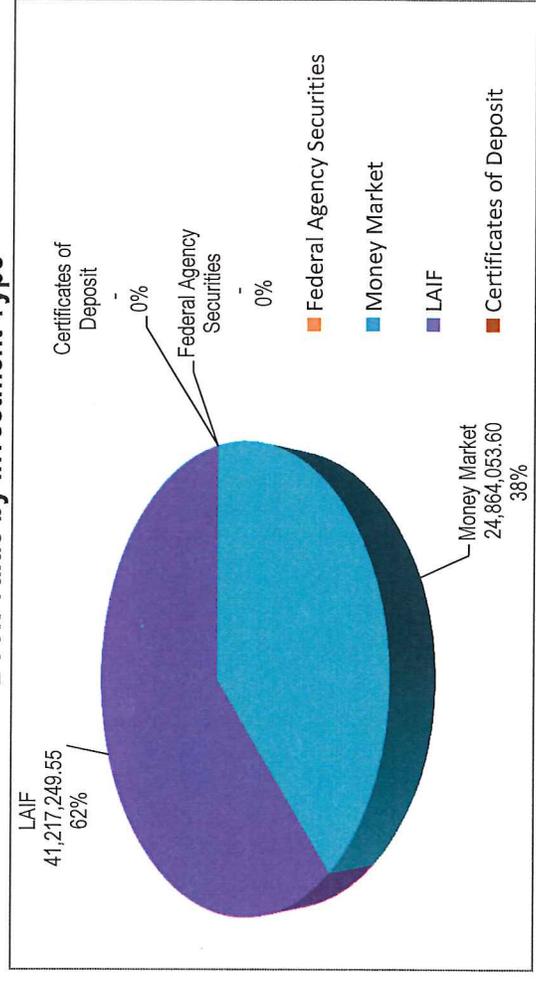
**Cash Summary:**

Pooled Investments (Unrestricted)	66,081,303.15
Fiscal Agent & Other Restricted Investments: City	43,252,806.03
Successor Agency to the RCRA	22,760,243.24
Total Fiscal Agent & Other Investments	66,013,049.27
Checking Accounts & Other Activity	29,868,322.43
<b>Total Cash Balance ( Fund detail attached)</b>	<b>161,962,674.85</b>

**Book Value History**  
(in millions)



**Book Value by Investment Type**







City of Richmond  
 Finance Department  
 450 Civic Center Plaza  
 Richmond, Richmond, CA 94804  
 (510)620-6740

**City of Richmond  
 Portfolio Management  
 Portfolio Summary  
 October 31, 2018**

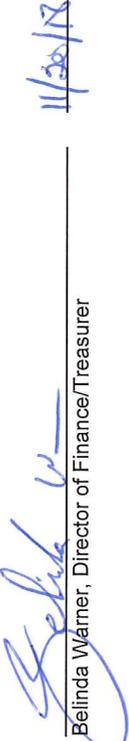
Investments	Par Value	Market Value	Book Value	% of Portfolio	Term	Days to Maturity	YTM/C 360 Equiv.	YTM/C 365 Equiv.
Local Agency Investment Funds	41,217,249.55	41,217,249.55	41,217,249.55	62.37	1	1	2.115	2.144
Money Markets	24,864,053.60	24,864,053.60	24,864,053.60	37.63	1	1	2.390	2.423
<b>Investments</b>	<b>66,081,303.15</b>	<b>66,081,303.15</b>	<b>66,081,303.15</b>	<b>100.00%</b>	<b>1</b>	<b>1</b>	<b>2.218</b>	<b>2.249</b>

**Cash**

Passbook/Checking (not included in yield calculations)	14,928,725.67	14,928,725.67	14,928,725.67		1	1	0.000	0.000
<b>Total Cash and Investments</b>	<b>81,010,028.82</b>	<b>81,010,028.82</b>	<b>81,010,028.82</b>		<b>1</b>	<b>1</b>	<b>2.218</b>	<b>2.249</b>

**Total Earnings**      **October 31 Month Ending**  
 Current Year      124,614.99  
 Average Daily Balance      80,628,399.63  
 Effective Rate of Return      1.82%

The above investments are consistent with the City's Investment Policy and allowable under current legislation of the State of California. Investments were selected using safety, liquidity and yield as the criteria. The source of the market values for the investments are provided by Union Bank of California in accordance with the California Government Code requirement. The City has sufficient cash flow to cover anticipated expenditures through the next six months.

  
 Belinda Warner, Director of Finance/Treasurer

Reporting period 10/01/2018-10/31/2018

Run Date: 11/08/2018 - 14:16

No fiscal year history available

Portfolio CITY  
 CP  
 PM (PRF\_PM1) 7.3.0  
 Report Ver. 7.3.6.1



**City of Richmond**  
**Portfolio Management**  
**Investment Status Report - Investments**  
**October 31, 2018**

CUSIP	Investment #	Issuer	Par Value	Stated Rate	Maturity Date	Purchase Date	YTM/C 360	YTM/C 365	Payment Dates	Accrued Interest At Purchase	Current Principal	Book Value
<b>Local Agency Investment Funds</b>												
11-07-003	200676	L-TRAN	0.00	0.278			0.274	0.278	11/30 - Quarterly		0.00	0.00
98-07-724	1000	LAIF	41,217,249.55	2.144			2.115	2.144	07/01 - Quarterly		41,217,249.55	41,217,249.55
<b>Local Agency Investment Funds Totals</b>			<b>41,217,249.55</b>				<b>2.115</b>	<b>2.144</b>		<b>0.00</b>	<b>41,217,249.55</b>	<b>41,217,249.55</b>
<b>Money Markets</b>												
SYS200595	200595	ALTA	0.00	0.200			0.200	0.203	07/01 - Monthly		0.00	0.00
SYS200602	200810	CALTR-	0.00	0.510			0.510	0.517	12/01 - Monthly		0.00	0.00
SYS200601	200601	CALTRU	24,864,053.60	2.390			2.390	2.423	07/01 - Monthly		24,864,053.60	24,864,053.60
1601111894	200581	GRBAY	0.00	0.100			0.100	0.101	07/01 - Monthly		0.00	0.00
431114503	200534	UBOC	0.00			07/01/2015	0.000	0.000	08/01 - Monthly		0.00	0.00
<b>Money Markets Totals</b>			<b>24,864,053.60</b>				<b>2.390</b>	<b>2.423</b>		<b>0.00</b>	<b>24,864,053.60</b>	<b>24,864,053.60</b>
<b>Investment Totals</b>			<b>66,081,303.15</b>				<b>2.218</b>	<b>2.249</b>		<b>0.00</b>	<b>66,081,303.15</b>	<b>66,081,303.15</b>

**City of Richmond**  
**Portfolio Management**  
**Investment Status Report - Cash**  
**October 31, 2018**

CUSIP	Investment #	Issuer	Par Value	Stated Rate	Maturity Date	Purchase Date	YTM/C 360	YTM/C 365	Payment Dates	Accrued Interest At Purchase	Current Principal	Book Value
Cash In Banks												
SYS200723	200723	MECHBK	14,928,725.67				0.000	0.000	07/31 - Monthly		14,928,725.67	14,928,725.67
		<b>Cash Totals</b>	<b>14,928,725.67</b>							<b>0.00</b>	<b>14,928,725.67</b>	<b>14,928,725.67</b>
		<b>Total Cash and Investments</b>	<b>81,010,028.82</b>				<b>2.218</b>	<b>2.249</b>		<b>0.00</b>	<b>81,010,028.82</b>	<b>81,010,028.82</b>

**City of Richmond  
Portfolio Management  
Portfolio Details - Investments  
October 31, 2018**

CUSIP	Investment #	Issuer	Average Balance	Purchase Date	Par Value	Market Value	Book Value	Stated Rate	S&P	YTM/C 365	Days to Maturity	Maturity Date
<b>Local Agency Investment Funds</b>												
11-07-003	200676	L.A.I.F. - TRAN			0.00	0.00	0.00	0.278		0.278	1	
98-07-724	1000	L.A.I.F. - City of Richmond			41,217,249.55	41,217,249.55	41,217,249.55	2.144		2.144	1	
		<b>Subtotal and Average</b>	<b>43,338,223.13</b>		<b>41,217,249.55</b>	<b>41,217,249.55</b>	<b>41,217,249.55</b>			<b>2.144</b>	<b>1</b>	
<b>Money Markets</b>												
SYS200595	200595	Torrey Pines/Alta Alliance			0.00	0.00	0.00	0.200		0.203	1	
SYS200602	200810	CALTRUST TRAN			0.00	0.00	0.00	0.510		0.517	1	
SYS200601	200601	Cal Trust			24,864,053.60	24,864,053.60	24,864,053.60	2.390		2.423	1	
1601111894	200581	Wells Fargo Bank			0.00	0.00	0.00	0.100		0.101	1	
431114503	200534	Union Bank of Calif - Safekeep		07/01/2015	0.00	0.00	0.00	0.000		0.000	1	
		<b>Subtotal and Average</b>	<b>24,814,876.66</b>		<b>24,864,053.60</b>	<b>24,864,053.60</b>	<b>24,864,053.60</b>			<b>2.423</b>	<b>1</b>	
		<b>Total and Average</b>	<b>80,628,399.63</b>		<b>66,081,303.15</b>	<b>66,081,303.15</b>	<b>66,081,303.15</b>			<b>2.249</b>	<b>1</b>	

**City of Richmond**  
**Portfolio Management**  
**Portfolio Details - Cash**  
**October 31, 2018**

CUSIP	Investment #	Issuer	Average Balance	Purchase Date	Par Value	Market Value	Book Value	Stated Rate	S&P	YTM/C 365	Days to Maturity
<b>Cash In Banks</b>											
SYS200723	200723	Mechanics Bank			14,928,725.67	14,928,725.67	14,928,725.67			0.000	1
		Average Balance	0.00								1
		<b>Total Cash and Investments</b>	<b>80,628,399.63</b>		<b>81,010,028.82</b>	<b>81,010,028.82</b>	<b>81,010,028.82</b>			<b>2.249</b>	<b>1</b>

CITY OF RICHMOND  
CASH BALANCES BY FUND  
COMPARATIVE REPORT - OCTOBER 2017 AND OCTOBER 2018

FUND	FUND DESCRIPTION	OCTOBER 2017 FUND BALANCE	OCTOBER 2017 TOTAL	OCTOBER 2018 FUND BALANCE	OCTOBER 2018 TOTAL	% of Change
<b>GENERAL FUND</b>						
0001	GENERAL FUND	12,068,721.74		8,288,491.67		
<i>General Fund Total</i>			12,068,721.74		8,288,491.67	-31.3%
<b>SPECIAL REVENUE FUNDS</b>						
1001	SECURED PENSION OVERRIDE	(7,799,072.79)		(9,807,026.78)		
1002	STATE GAS TAX	1,021,307.64		(890,977.07)		
1003	TRANSPORTATION OPERATIONS	(2,112,241.20)		(3,212,441.52)		
1004	ASSET SEIZURE FUND	294,438.95		336,254.95		
1005	LIBRARY FUND	543,758.32		507,877.39		
1006	OUTSIDE FUNDED SVCS - GRANTS	516,535.60		58,477.70		
1007	EMERGENCY MED SERV	401,436.18		324,803.52		
1008	PT MOLATE SEC & MAINT	-		-		
1009	VEOLIA MITIGATION	148,416.99		181,562.81		
1010	N.RICHMOND WASTE	200,092.82		116,195.36		
1011	OUTSIDE FUNDED SVCS - DONATION	6,441.70		6,516.00		
1012	HILLTOP LANDSCAPE MAINT DIST	(86,653.20)		74,155.56		
1013	HAZMAT FUND	(4,640.40)		(400.00)		
1014	EDA GRANT FUND	297,954.46		348,079.83		
1015	MARINA BAY LNDSCP & LIGHT DIST	725,692.96		673,656.06		
1016		-		-		
1017	CHEVRON MODERNIZATION PROJECT	13,200,407.23		14,592,241.09		
1018	RENT CONTROL	(928,090.86)		23,515.15		
1019	GAS TAX SEC 201	-		301,890.78		
1050	CR-PLANNING & BUILDING	(2,081,136.09)		3,531,320.66		
1051	CR-ENGINEERING	(2,287,759.88)		(2,510,474.39)		
1053	CR-CODE ENFORCEMENT	(2,352,134.96)		(2,447,737.77)		
1054	ENGINEERING GRANTS	717,499.64		(887,764.43)		
1055	ENCROACHMENT SERVICES	140,606.57		595,458.10		
1056	RENT CONTROL	-		-		
1200	HOUSING ADMINISTRATION	(1,609,982.95)		(3,703,511.10)		
1201	CDBG	(237,897.68)		1,425,281.57		
1202	HOME PROGRAM	(733,277.72)		842,009.83		
1203	NEIGHBORHOOD STABILIZATION	175,191.90		175,773.42		
1204	CDBG RECOVERY	-		193,457.00		
1205	EMPLOYMENT & TRAINING	1,074,089.83		2,032,579.52		
1206	CDBG HOMELESS PREV RAPID RESP	-		-		
1207	COR-NEIGHBORHOOD STAB(CITY)	(83,937.72)		(82,686.98)		
1208	SUCCESSOR HOUSING AGENCY	2,249,524.04		1,360,084.11		
1209	CAL-HOME GRANT FUND	255,197.45		220,193.36		
1302	HOUSING IN LIEU	415,678.44		888,835.25		
<i>Special Revenue Funds</i>			2,067,445.27		5,267,198.98	155%
<b>CAPITAL PROJECT FUNDS</b>						
2001	GENERAL CAPITAL FUND	(210,411.57)		2,928,784.79		
2002	MEASURE J	1,113,997.83		1,719,305.43		
2003	CIP LSE REV BOND 01	-		-		
2004	CIVIC CENTER	-		-		
2006	PARKS FUND	-		-		
2007	HARBOR FUND	43,568.65		44,071.14		
2008	TRANSPORTATION FUND	-		-		
2009	STATE HIGHWAY FUND	-		-		
2110	IMPACT FEE - PARKS	47,966.36		136,321.44		
2111	IMPACT FEE - TRAFFIC	(116,481.48)		1,038,520.20		
2113	IMPACT FEE - FIRE	180,008.96		249,677.19		
2114	IMPACT FEE - POLICE	176,356.56		260,233.64		
2115	IMPACT FEE - COMM/AQUATC	344,824.00		651,410.54		
2116	IMPACT FEE - PARKS/OPEN	457,230.51		1,115,146.00		
2117	IMPACT FEE - LIBRARY	593,581.98		1,110,300.67		
2118	IMPACT FEE - HILLTOP	30,249.50		30,598.38		
2119	IMPACT FEE - STORM DRAINAGE	338,128.06		799,319.12		
2120	IMPACT FEE - WASTEWATER	786,630.09		1,944,018.63		
2125	SECTION 108 IRON TRIANGLE	49,982.75		656,637.39		
2126	HOUSING CIP FUND	(64,633.03)		(697,216.16)		
<i>Capital Project Funds Total</i>			3,770,999.17		11,987,128.40	217.9%
<b>DEBT SERVICE FUNDS</b>						
3001	DS - 2005 TAXABLE POB	148,880.59		1,288,158.10		
3002	DS - 99A PENSION OBLIG BOND	250,872.59		202,438.51		
3003	DS - 95A SER REV RFDG BDS	-		-		
3005	DS-2007 REFUNDING&CVC CTR	(1,807,502.85)		(1,594,748.18)		
<i>Debt Service Funds Total</i>			(1,407,749.67)		(104,151.57)	-92.6%
<b>ENTERPRISE FUNDS</b>						
4001	PORT OF RICHMOND	5,927,361.30		7,750,659.21		
4003	WASTEWATER	40,977,785.43		23,351,712.27		
4005	MARINA	3,563,892.58		3,802,619.72		

FUND	FUND DESCRIPTION	OCTOBER 2017 FUND BALANCE	OCTOBER 2017 TOTAL	OCTOBER 2018 FUND BALANCE	OCTOBER 2018 TOTAL	% of Change
4006	STORMWATER	(1,850,573.99)		(1,440,735.26)		
4007	UTL-ENCROC	-		-		
4008	KCRT - CABLE TELEVIS	(37,257.90)		(83,527.25)		
4009	PARKING AUTHORITY	-		(180.91)		
4101	RHA-HSNG CHOICE VOUCHER-SEC 8	123,464.92		875,874.75		
4102	RHA-HOPE 6	274,493.47		264,597.63		
4103	RHA-PROJECT REAL	(40,216.09)		(40,216.09)		
4201	RHA-CAPITAL FUND	(215,895.14)		80,322.17		
4401	RHA-HACIENDA	(100,218.17)		(305,349.85)		
4402	RHA-FRIENDSHIP MANOR	(70,918.94)		(70,918.94)		
4403	RHA-TRIANGLE COURT	(170,076.22)		(169,006.12)		
4404	RHA-NEVIN PLAZA	(768,883.62)		(634,692.55)		
4405	RHA-NYSTROM VILLAGE	(121,011.07)		(55,498.60)		
4406	RHA-RICHMOND VILLAGE ONE	182,676.86		79,009.93		
4407	RHA-RICHMOND VILLAGE TWO	139,657.25		118,935.25		
4408	RHA-RICHMOND VILLAGE THREE	18,459.75		16,529.25		
4409	RHA-LOCAL FUND	18,241.95		13,736.87		
4501	RHA-CENTRAL OFFICE COST CNTR	(1,256,289.35)		(779,895.00)		
<b>Enterprise Funds Total</b>			<b>46,594,693.02</b>		<b>32,773,976.48</b>	<b>-29.7%</b>
<b>INTERNAL SERVICE FUNDS</b>						
5001	INSURANCE RESERVES	31,563,447.63		35,649,275.52		
5002	INFORMATION TECHNOLOGY	-		-		
5003	EQUIPMENT SERVICES	775,592.65		3,142,235.93		
5004	FACILITIES MANAGEMENT	-		-		
5005	CAD DISPATCH SYSTEM	(16,313.35)		902,253.60		
5006	RMS RECORDS SERVICES	157,203.70		202,766.15		
5007	800 MHZ TELECOM SYSTEM	-		-		
5008	COMP ABSENCES	123,799.62		313,617.57		
<b>Internal Service Funds Total</b>			<b>32,603,730.25</b>		<b>40,210,148.77</b>	<b>23.3%</b>
<b>TRUST &amp; AGENCY FUNDS</b>						
6001	GENERAL TRUST & AGENCY	520.52		1,427.58		
6002	JOHNSON TRUST	10,320.90		10,439.93		
6003	CUBA FRIENDSHIP	-		-		
6004	COMMISSION ON AGING	39,674.23		40,697.78		
6005	SEAVER GALLERY TRUST FUND	72,207.26		78,727.17		
6006	POLICE GENERAL TRUST	1,110,238.80		1,362,668.66		
6007	SENIOR TRUST DEPOSIT	75,977.11		66,226.37		
6049	PT MOLATE NAVY GRANT	3,653,380.32		3,154,143.90		
6048	OPEB PL FUND	9,336,893.08		17,058,318.81		
6050	GENERAL PENSION FUND	288,226.18		586,607.37		
6051	POLICE & FIRE PENSION	10,253,217.90		9,427,627.03		
6052	GARFIELD PENSION FUND	267,167.95		380,790.23		
6053	JPFA: 99 REV REFUND	-		-		
6054	CUTTING CANAL A.D. 8	-		-		
6055	HILLTOP REDEMPTION F	-		-		
6056	SAN PABLO 854 ASSES	253,850.90		256,778.71		
6057	HARBOR NAVIGATION IM	171,263.20		173,238.47		
6058	MARINA WESTSHORE DEB	830,368.43		580,031.88		
6059	COUNTRY CLUB VISTA	215,894.91		411,459.09		
6060	REASSESSMENT DISTRICT 2003-1	2,450,982.33		2,587,629.12		
6061	REGLA CITY	-		3,000.12		
6064	ZHOUSHAN FRIENDSHIP COM.	-		38.71		
6062	SHIMADA CITY	-		13,693.19		
6999	PAYROLL LIABILITIES FUND	3,726,091.50		2,389,603.78		
<b>Trust &amp; Agency Funds Total</b>			<b>32,756,275.52</b>		<b>38,583,147.90</b>	<b>17.8%</b>
<b>SUCCESSOR AGENCY - FIDUCIARY FUNDS</b>						
6101	SA - PROPERTY TAX TRUST FUND	6,650,986.92		3,769,475.76		
6102	SA-ADMINISTRATION	(132,600.51)		75,381.80		
6103	SA-CAPITAL PROJECT FUNDS	4,891,833.73		6,803,379.19		
6104	SA-LOW/MOD INCOME HOUSING	-		-		
6105	SA-WELLS FARGO 2002 LOAN	-		-		
6106	EPA LN POL	654,097.32		-		
6201	SA-CIP 00A TAR BND PRCDs-JPFA	-		-		
6202	SA-CIP 00B TAR BND PRCDs-HSNG	-		-		
6203	SA-CIP 03B TAR BND PRCDs	-		-		
6204	SA-CIP 04A TAR BND PRCDs	-		-		
6205	SA-CIP 04A TAR BND PRCDs-HSG	-		-		
6206	SA-CIP 04B TAR BND PRCDs-HSG	39,487.75		36,515.60		
6207	SA-CIP 07A TAR BND PRCDs	-		-		
6208	SA-CIP 07B TAR BND PRCDs-HSG	111,470.58		113,020.80		
6300	SA-DS HARBOR 98 TAR B	2,362,497.15		1,249,518.46		
6301	SA-DS 2000A TAR B	-		-		
6302	SA-DS 2000B TAR B - HOUSING	-		-		
6303	SA-DS 2003A TAR B	-		-		
6304	SA-DS 2003B TAR B	1,465,920.74		664,040.66		
6305	SA-DS 2004A TAR B 2/3	2,117,630.63		3,439,919.73		
6306	SA-DS 2004A TAR B -1/3 HSING	804,498.75		817,383.02		
6307	SA-DS 2004B TAR B - HOUSING	315,606.35		205,397.40		

FUND	FUND DESCRIPTION	OCTOBER 2017 FUND BALANCE	OCTOBER 2017 TOTAL	OCTOBER 2018 FUND BALANCE	OCTOBER 2018 TOTAL	% of Change
6308	SA-DS 2007/10A TARB	9,208,979.58		6,582,101.03		
6309	SA-DS 2007/10B TARB - HOUSING	2,905,153.41		2,026,942.07		
6401	SA-DS 2014A NON-TAXABLE BONDS	4,721,853.51		1,326,691.24		
6402	SA-DS 2014B TAXABLE BONDS	519,598.72		12,298.00		
6403	SA-DS LOANS PAYABLE	-		-		
6996	SUCCESSOR AGENCY - GLTDAG	-		-		
6997	SUCCESSOR AGENCY - GFAAG	-		-		
<i>Successor Agency - Fiduciary Funds</i>			36,637,014.63		27,122,064.76	-25.97%
<b>RHA CONVNL FUNDS</b>						
8101	RHA CONVNL	-		(2,215,969.76)		
<i>RHA Convnl Funds</i>			-		(2,215,969.76)	-100%
<b>TREASURER'S FUNDS</b>						
9999	TREASURER'S FUND -	115,875.62		50,639.22		
<i>Treasurer's Fund Total</i>			115,875.62		50,639.22	-56.3%
<b>TOTAL CASH</b>			<u>\$ 165,207,005.55</u>		<u>\$ 161,962,674.85</u>	-1.96%

