



**Finance Department – Treasury Division**

**MEMORANDUM**

**To:** Mayor and Members of the City Council

**From:** James C. Goins, Finance Director/Treasurer  
Tracey T. Lovely, Investment Analyst

**Date:** October 29, 2010

**Subject:** **MONTHLY CASH BALANCES & INVESTMENT REPORTS –  
September 2010**

A handwritten signature in blue ink, appearing to be "J.C. Goins", written over the printed name of James C. Goins.

Enclosed for your information are the Portfolio Summary, Investment Report, and Cash Report for the month of September 2010 prepared by the City Treasurer pursuant to the statutory requirements of the State of California.



**City of Richmond**  
**Portfolio Management**  
**Portfolio Summary**  
**September 30, 2010**

City of Richmond  
 Finance Department  
 450 Civic Center Plaza  
 Richmond, CA 94804  
 (510)620-6740

Investments	Par Value	Market Value	Book Value	% of Portfolio	Term	Days to Maturity	YTM/C 360 Equiv.	YTM/C 365 Equiv.
Local Agency Investment Funds	14,514,877.07	14,514,877.07	14,514,877.07	15.21	1	1	0.493	0.500
Money Markets	23,006,194.90	23,006,194.90	23,006,194.90	24.11	1	1	0.526	0.534
Federal Agency Issues - Coupon	55,000,000.00	55,564,825.00	54,974,984.42	57.61	1,785	208	2.673	2.710
Sweep Account	2,933,371.48	2,933,371.48	2,933,371.48	3.07	1	1	0.217	0.220
<b>Investments</b>	<b>95,454,443.45</b>	<b>96,019,268.45</b>	<b>95,429,427.87</b>	<b>100.00%</b>	<b>1,029</b>	<b>120</b>	<b>1.749</b>	<b>1.773</b>

<b>Cash and Accrued Interest</b>		66,198.61	66,198.61					
<b>Accrued Interest at Purchase</b>								
<b>Subtotal</b>		66,198.61	66,198.61					
<b>Total Cash and Investments</b>	<b>95,454,443.45</b>	<b>96,085,467.06</b>	<b>95,495,626.48</b>		<b>1,029</b>	<b>120</b>	<b>1.749</b>	<b>1.773</b>

Total Earnings	September 30 Month Ending	Fiscal Year To Date
Current Year	149,322.63	448,298.40
Average Daily Balance	94,234,382.17	108,342,274.28
Effective Rate of Return	1.93%	1.64%

The above Investments are consistent with the City's Investment Policy and allowable under current legislation of the State of California. Investments were selected using safety, liquidity and yield as the criteria. The source of the market values for the Investments are provided by Union Bank of California in accordance with the California Government Code requirement. The City has sufficient cash flow to cover anticipated expenditures through the next six months.

James Goins, Director of Finance/Treasurer  




Reporting period 09/01/2010-09/30/2010

Run Date: 11/01/2010 - 11:33

**City of Richmond**  
**Portfolio Management**  
**Portfolio Details - Investments**  
**September 30, 2010**

CUSIP	Investment #	Issuer	Average Balance	Purchase Date	Par Value	Market Value	Book Value	Stated Rate	Moody's	YTM/C 365	Days to Maturity	Maturity Date
<b>Local Agency Investment Funds</b>												
11-07-003	200676	L.A.I.F. - TRAN	10,850,000.00		10,850,000.00	10,850,000.00	10,850,000.00	0.500		0.500	1	
98-07-724	1000	L.A.I.F. - City of Richmond	3,247,589.53		3,247,589.53	3,247,589.53	3,247,589.53	0.500		0.500	1	
55-07-001	1020	L.A.I.F. - Pension Fund	189,285.68		189,285.68	189,285.68	189,285.68	0.500		0.500	1	
65-07-007	1010	L.A.I.F. - Redevelopment	228,001.86		228,001.86	228,001.86	228,001.86	0.500		0.500	1	
		<b>Subtotal and Average</b>	<b>10,850,000.00</b>		<b>14,514,877.07</b>	<b>14,514,877.07</b>	<b>14,514,877.07</b>			<b>0.500</b>	<b>1</b>	
<b>Money Markets</b>												
SYS200595	200595	Alta Alliance Bank	335,277.49		335,277.49	335,277.49	335,277.49	0.800		0.811	1	
SYS200601	200601	Cal Trust	299,358.60		299,358.60	299,358.60	299,358.60	0.600		0.608	1	
1601111894	200581	Wells Fargo Bank	344,210.35		344,210.35	344,210.35	344,210.35	0.050		0.051	1	
SYS1030	1030	Mechanics Bank	1,769,914.65		1,769,914.65	1,769,914.65	1,769,914.65	0.400		0.406	1	
SYS200684	200684	Mechanics Bank - 2009 TRAN Acc	4,307.67		4,307.67	4,307.67	4,307.67	0.100		0.101	1	
431114503	200534	Union Bank of Calif. - Safekeep	2,056,152.28		2,056,152.28	2,056,152.28	2,056,152.28	0.010		0.010	1	
SYS200692	200692	Wells Fargo CSCDA TRAN 2009	18,196,973.86		18,196,973.86	18,196,973.86	18,196,973.86	0.600		0.608	1	
		<b>Subtotal and Average</b>	<b>18,192,540.89</b>		<b>23,006,194.90</b>	<b>23,006,194.90</b>	<b>23,006,194.90</b>			<b>0.534</b>	<b>1</b>	
<b>Federal Agency Issues - Coupon</b>												
31331G3J6	200678	Federal Farm Credit Bank	3,000,000.00	12/24/2009	3,000,000.00	3,007,500.00	3,000,000.00	2.550	Aaa	2.549	48	11/18/2013
31398AXB3	200665	Federal National Mortgage Asso	5,000,000.00	05/11/2009	5,000,000.00	5,065,650.00	4,991,344.48	2.800	Aaa	2.925	216	05/05/2014
31331G2Y4	200673	Federal Farm Credit Bank	3,000,000.00	11/05/2009	3,000,000.00	3,181,890.00	3,000,000.00	2.800	Aaa	2.800	1,496	11/05/2014
3128X9XE9	200681	Federal Home Loan Mortgage Cor	3,000,000.00	12/28/2009	3,000,000.00	3,007,710.00	2,994,937.61	3.000	Aaa	3.237	35	11/05/2014
31398AB35	200686	Federal National Mortgage Asso	3,000,000.00	04/28/2010	3,000,000.00	3,013,140.00	2,994,007.58	2.625	Aaa	2.985	70	12/10/2014
3128X9R44	200690	Federal Home Loan Mortgage Cor	2,500,000.00	05/14/2010	2,500,000.00	2,511,275.00	2,500,000.00	2.875	Aaa	2.875	70	03/10/2015
3128X9ZS8	200685	Federal Home Loan Mortgage Cor	2,500,000.00	04/15/2010	2,500,000.00	2,532,750.00	2,499,319.17	3.000	Aaa	3.031	196	04/15/2015
31331JWG4	200699	Federal Farm Credit Bank	3,000,000.00	07/28/2010	3,000,000.00	3,003,750.00	2,999,711.05	2.120	Aaa	2.149	27	04/28/2015
31331JNZ3	200687	Federal Farm Credit Bank	3,000,000.00	05/04/2010	3,000,000.00	3,075,000.00	3,000,000.00	2.950	Aaa	2.950	399	05/04/2015
3134G1AS3	200688	Federal Home Loan Mortgage Cor	4,000,000.00	05/18/2010	4,000,000.00	4,013,120.00	4,000,000.00	3.000	Aaa	3.000	49	05/18/2015
3134G1BX1	200689	Federal Home Loan Mortgage Cor	3,000,000.00	05/19/2010	3,000,000.00	3,010,230.00	3,000,000.00	3.050	Aaa	3.050	48	05/19/2015
3136FMUD4	200691	Federal National Mortgage Asso	2,500,000.00	05/26/2010	2,500,000.00	2,533,600.00	2,500,000.00	2.700	Aaa	2.700	237	05/26/2015
3133XYV850	200693	Federal Home Loan Bank	2,500,000.00	06/29/2010	2,500,000.00	2,512,500.00	2,500,000.00	2.670	Aaa	2.670	89	06/29/2015
3134G1GZ1	200694	Federal Home Loan Mortgage Cor	2,500,000.00	07/07/2010	2,500,000.00	2,511,525.00	2,500,000.00	2.750	Aaa	2.750	98	07/07/2015
3133706C72	200695	Federal Home Loan Bank	3,500,000.00	07/21/2010	3,500,000.00	3,518,585.00	3,499,159.03	2.350	Aaa	2.401	112	07/21/2015
31398AZ54	200698	Federal National Mortgage Asso	3,000,000.00	08/04/2010	3,000,000.00	3,014,070.00	2,998,983.25	2.150	Aaa	2.221	126	08/04/2015
31398AZA9	200696	Federal National Mortgage Asso	3,000,000.00	08/05/2010	3,000,000.00	3,035,640.00	3,000,000.00	2.125	Aaa	2.125	308	08/05/2015
313370DES	200697	Federal Home Loan Bank	3,000,000.00	08/10/2010	3,000,000.00	3,016,890.00	2,997,522.25	2.170	Aaa	2.261	132	08/10/2015

**City of Richmond  
Portfolio Management  
Portfolio Details - Investments  
September 30, 2010**

CUSIP	Investment #	Issuer	Average Balance	Purchase Date	Par Value	Market Value	Book Value	Stated Rate	Moody's	YTM/C 365	Days to Maturity
		<b>Subtotal and Average</b>	<b>20,495,336.99</b>		<b>55,000,000.00</b>	<b>55,564,825.00</b>	<b>54,974,984.42</b>			<b>2.710</b>	<b>208</b>
<b>Sweep Account</b>											
1001	1001	Mechanics Bank		04/12/2006	2,933,371.48	2,933,371.48	2,933,371.48	0.220		0.220	1
		<b>Subtotal and Average</b>	<b>511,127.00</b>		<b>2,933,371.48</b>	<b>2,933,371.48</b>	<b>2,933,371.48</b>			<b>0.220</b>	<b>1</b>
		<b>Total and Average</b>	<b>50,049,004.88</b>		<b>95,454,443.45</b>	<b>96,019,286.45</b>	<b>95,429,427.87</b>			<b>1.773</b>	<b>120</b>

**City of Richmond  
Portfolio Management  
Portfolio Details - Cash  
September 30, 2010**

CUSIP	Investment #	Issuer	Average Balance	Purchase Date	Par Value	Market Value	Book Value	Stated Rate	Moody's	YTM/C 365	Days to Maturity
		<b>Average Balance</b>	0.00			66,198.61	66,198.61				0
		<b>Subtotal</b>				66,198.61	66,198.61				
		<b>Total Cash and Investments</b>	94,234,382.17		95,454,443.45	96,085,467.06	95,495,626.48			1.773	120

**City of Richmond**  
**Portfolio Management**  
**Investment Status Report - Investments**  
**September 30, 2010**

CUSIP	Investment #	Issuer	Par Value	Stated Rate	Maturity Date	Purchase Date	YTM/C 360	YTM/C 365	Payment Dates	Accrued Interest At Purchase	Current Principal	Book Value
<b>Local Agency Investment Funds</b>												
11-07-003	200676	L-TRAN	10,850,000.00	0.500			0.493	0.500	11/30 - Quarterly		10,850,000.00	10,850,000.00
98-07-724	1000	LAIF	3,247,589.53	0.500			0.493	0.500	07/01 - Quarterly		3,247,589.53	3,247,589.53
55-07-001	1020	LAIFGP	189,285.68	0.500			0.493	0.500	07/01 - Quarterly		189,285.68	189,285.68
65-07-007	1010	LAIFRA	228,001.86	0.500			0.493	0.500	07/01 - Quarterly		228,001.86	228,001.86
<b>Local Agency Investment Funds Totals</b>											<b>14,514,877.07</b>	<b>14,514,877.07</b>

<b>Money Markets</b>												
SYS200595	200595	ALTA	335,277.49	0.800			0.800	0.811	07/01 - Monthly		335,277.49	335,277.49
SYS200601	200601	CALTRU	299,358.60	0.600			0.600	0.608	07/01 - Monthly		299,358.60	299,358.60
1601111894	200581	GRBAY	344,210.35	0.050			0.050	0.051	07/01 - Monthly		344,210.35	344,210.35
SYS200684	1030	MECHBK	1,769,914.65	0.400			0.400	0.406	08/31 - Monthly		1,769,914.65	1,769,914.65
SYS200684	200684	MECHTR	4,307.67	0.100			0.100	0.101	01/31 - Monthly		4,307.67	4,307.67
431114503	200534	UBOC	2,056,152.28	0.010			0.010	0.010	07/31 - Monthly		2,056,152.28	2,056,152.28
SYS200692	200692	WFTTRAN	18,196,973.86	0.600			0.600	0.608	04/30 - Monthly		18,196,973.86	18,196,973.86
<b>Money Markets Totals</b>											<b>23,006,194.90</b>	<b>23,006,194.90</b>

<b>Federal Agency Issues - Coupon</b>												
31331G3J6	200678	FECB	3,000,000.00	2.550	11/18/2013	12/24/2009	2.514	2.549	05/18 - 10/08	7,650.00	3,000,000.00	3,000,000.00
31398AXB3	200665	FNMA	5,000,000.00	2.800	05/05/2014	05/11/2009	2.885	2.925	11/05 - 05/05	2,333.33	4,988,000.00	4,991,344.48
31331G2Y4	200673	FECB	3,000,000.00	2.800	11/05/2014	11/05/2009	2.762	2.800	05/05 - 11/05		3,000,000.00	3,000,000.00
3128X9KE9	200681	FHLMC	3,000,000.00	3.000	11/05/2014	12/28/2009	3.192	3.237	05/05 - 10/08	13,250.00	2,994,937.61	2,994,937.61
31398AB35	200686	FNMA	3,000,000.00	2.625	12/10/2014	04/28/2010	2.944	2.985	06/10 - 12/10	30,187.50	2,993,400.00	2,994,007.58
3128X9RA4	200690	FHLMC	2,500,000.00	2.875	03/10/2015	05/14/2010	2.836	2.875	11/14 - 05/14	12,777.78	2,500,000.00	2,500,000.00
3128X92S8	200685	FHLMC	2,500,000.00	3.000	04/15/2015	04/15/2010	2.989	3.031	10/15 - 04/15	2,499,250.00	2,499,250.00	2,499,319.17
31331JWG4	200699	FECB	3,000,000.00	2.120	04/28/2015	07/28/2010	2.119	2.149	10/28 - 04/28	2,999,700.00	2,999,700.00	2,999,711.05
31331JIMZ3	200687	FECB	3,000,000.00	2.950	05/04/2015	05/04/2010	2.910	2.950	11/04 - 05/04		3,000,000.00	3,000,000.00
3134G1AS3	200688	FHLMC	4,000,000.00	3.000	05/18/2015	05/18/2010	2.959	3.000	11/18 - 05/18		4,000,000.00	4,000,000.00
3134G1BX1	200689	FHLMC	3,000,000.00	3.050	05/19/2015	05/19/2010	3.008	3.050	11/19 - 05/19		3,000,000.00	3,000,000.00
3136FMUD4	200691	FNMA	2,500,000.00	2.700	05/26/2015	05/26/2010	2.663	2.700	11/26 - 05/26		2,500,000.00	2,500,000.00
3133XVVB80	200693	FHLB	2,500,000.00	2.670	06/29/2015	06/29/2010	2.633	2.670	12/29 - 06/29		2,500,000.00	2,500,000.00
3134G1GZ1	200694	FHLMC	2,500,000.00	2.750	07/07/2015	07/07/2010	2.712	2.750	01/07 - 07/07		2,500,000.00	2,500,000.00
3133706C72	200695	FHLB	3,500,000.00	2.350	07/21/2015	07/21/2010	2.368	2.401	01/21 - 07/21		3,499,125.00	3,499,159.03
31398AZ54	200698	FNMA	3,000,000.00	2.150	08/04/2015	08/04/2010	2.190	2.221	02/04 - 08/04		2,998,950.00	2,998,983.25
31398A2A9	200696	FNMA	3,000,000.00	2.125	08/05/2015	08/05/2010	2.096	2.125	02/05 - 08/05		3,000,000.00	3,000,000.00
313370DES	200697	FHLB	3,000,000.00	2.170	08/10/2015	08/10/2010	2.230	2.261	02/10 - 08/10		2,997,450.00	2,997,522.25

Portfolio CITY

NLI AP

PM (PRF\_PMS) Sym/Rept 6.41.202b  
 Report Ver. 5.00

**City of Richmond**  
**Portfolio Management**  
**Investment Status Report - Investments**  
**September 30, 2010**

CUSIP	Investment #	Issuer	Par Value	Stated Rate	Maturity Date	Purchase Date	YTM/C 360	YTM/C 365	Payment Dates	Accrued Interest At Purchase	Current Principal	Book Value
<b>Federal Agency Issues - Coupon Totals</b>			<b>55,000,000.00</b>				<b>2.673</b>	<b>2.710</b>		<b>66,198.61</b>	<b>54,969,875.00</b>	<b>54,974,984.42</b>
<b>Sweep Account</b>												
1001	1001	MECHBK	2,933,371.48	0.220	04/12/2006		0.217	0.220	05/01 - Monthly		2,933,371.48	2,933,371.48
<b>Sweep Account Totals</b>			<b>2,933,371.48</b>				<b>0.217</b>	<b>0.220</b>		<b>0.00</b>	<b>2,933,371.48</b>	<b>2,933,371.48</b>
<b>Investment Totals</b>			<b>95,454,443.45</b>				<b>1.749</b>	<b>1.773</b>		<b>66,198.61</b>	<b>95,424,318.45</b>	<b>95,429,427.87</b>

**City of Richmond  
Portfolio Management  
Activity By Type  
September 1, 2010 through September 30, 2010**

CUSIP	Investment #	Issuer	Stated Rate	Transaction Date	Purchases or Deposits	Redemptions or Withdrawals	Balance
<b>Local Agency Investment Funds (Monthly Summary)</b>							
98-07-724	1000	L.A.I.F. - City of Richmond	0.500		6,000,000.00	10,000,000.00	
		<b>Subtotal</b>			<b>6,000,000.00</b>	<b>10,000,000.00</b>	<b>14,514,877.07</b>
<b>Money Markets (Monthly Summary)</b>							
SYS200595	200595	Alta Alliance Bank	0.800		220.38	0.00	
SYS200601	200601	Cal Trust	0.600		147.83	0.00	
1601111894	200581	Wells Fargo Bank	0.050		12.73	0.00	
SYS1030	1030	Mechanics Bank	0.400		581.79	0.00	
SYS200684	200684	Mechanics Bank - 2009 TRAN Acc	0.100		0.35	0.00	
431114503	200534	Union Bank of Calif - Satekeep	0.010		6,094,451.28	4,500,291.67	
SYS200692	200692	Wells Fargo CSCDA TRAN 2009	0.600		4,585.83	0.00	
		<b>Subtotal</b>			<b>6,100,000.19</b>	<b>4,500,291.67</b>	<b>23,006,194.90</b>
<b>Federal Agency Issues - Coupon</b>							
3136FJXD8	200674	Federal National Mortgage Asso	2.050	09/16/2010	0.00	3,000,000.00	
3136FJDV8	200682	Federal National Mortgage Asso	2.875	09/22/2010	0.00	2,996,957.75	
		<b>Subtotal</b>			<b>0.00</b>	<b>5,996,957.75</b>	<b>54,974,984.42</b>
<b>Sweep Account</b>							
1001	1001	Mechanics Bank	0.220		10,585,624.92	8,368,421.01	
		<b>Subtotal</b>			<b>10,585,624.92</b>	<b>8,368,421.01</b>	<b>2,933,371.48</b>
		<b>Total</b>			<b>22,685,625.11</b>	<b>28,865,670.43</b>	<b>95,429,427.87</b>

**City of Richmond**  
**Portfolio Management**  
**Activity Summary**  
**September 2009 through September 2010**

Month End	Year	Number of Securities	Average Balance	Yield to Maturity		Managed Pool Rate	Number of Investments Purchased	Number of Investments Redeemed	Average Term	Average Days to Maturity
				360 Equivalent	365 Equivalent					
September	2009	26	92,099,890.00	2.237	2.268	0.750	0	1	1,129	439
October	2009	23	82,585,599.97	1.974	2.002	0.646	1	4	959	266
November	2009	24	99,606,563.31	1.772	1.796	0.611	2	1	843	275
December	2009	29	98,868,360.98	1.758	1.783	0.569	7	2	910	176
January	2010	31	116,595,893.94	1.824	1.849	0.558	3	1	977	212
February	2010	29	104,124,390.39	2.164	2.194	0.577	0	2	1,216	273
March	2010	29	81,423,513.96	2.203	2.233	0.547	0	0	1,254	260
April	2010	29	100,121,228.26	1.709	1.733	0.588	3	3	893	144
May	2010	32	123,507,254.68	1.889	1.915	0.560	5	2	958	163
June	2010	30	114,583,618.78	1.522	1.543	0.528	1	3	781	192
July	2010	29	116,193,588.17	1.452	1.472	0.531	3	3	789	150
August	2010	32	114,143,759.22	1.800	1.825	0.513	3	0	1,071	176
September	2010	30	94,234,382.17	1.749	1.773	0.500	0	2	1,029	120
<b>Average</b>		<b>29</b>	<b>102,956,229.13</b>	<b>1.850%</b>	<b>1.876%</b>	<b>0.575</b>	<b>2</b>	<b>2</b>	<b>985</b>	<b>219</b>

**City of Richmond**  
**Portfolio Management**  
**Distribution of Investments By Type**  
**September 2009 through September 2010**

Security Type	September 2009	October 2009	November 2009	December 2009	January 2010	February 2010	March 2010	April 2010	May 2010	June 2010	July 2010	August 2010	September 2010	Average by Period
Local Agency Investment Funds	1.8	10.0	20.9	20.9	11.5	10.0	4.3	24.0	15.8	20.6	16.6	18.2	15.2	14.6%
Certificates of Deposit														
Money Markets	28.8	30.2	30.0	25.1	30.9	19.0	19.7	23.0	28.9	32.1	28.0	21.1	24.1	26.2%
Commercial Paper - Interest Bearing														
Repurchase Agreements														
Federal Agency Issues - Coupon	65.0	54.9	48.3	52.0	55.2	67.7	69.8	49.9	53.5	43.8	44.4	60.0	57.6	55.5%
Treasury Securities - Coupon														
Certificates of Deposit-Bank														
Mortgage Backed Securities														
Bankers Acceptances -Amortizing														
Commercial Paper Disc. -Amortizing														
Federal Agency Disc. -Amortizing														
Treasury Discounts -Amortizing														
Miscellaneous Discounts -Amortizing														
Cash In Banks														
Money Markets														
Sweep Account	4.4	4.9	0.9	2.1	2.4	3.3	6.2	3.1	1.8	3.6	10.9	0.7	3.1	3.6%

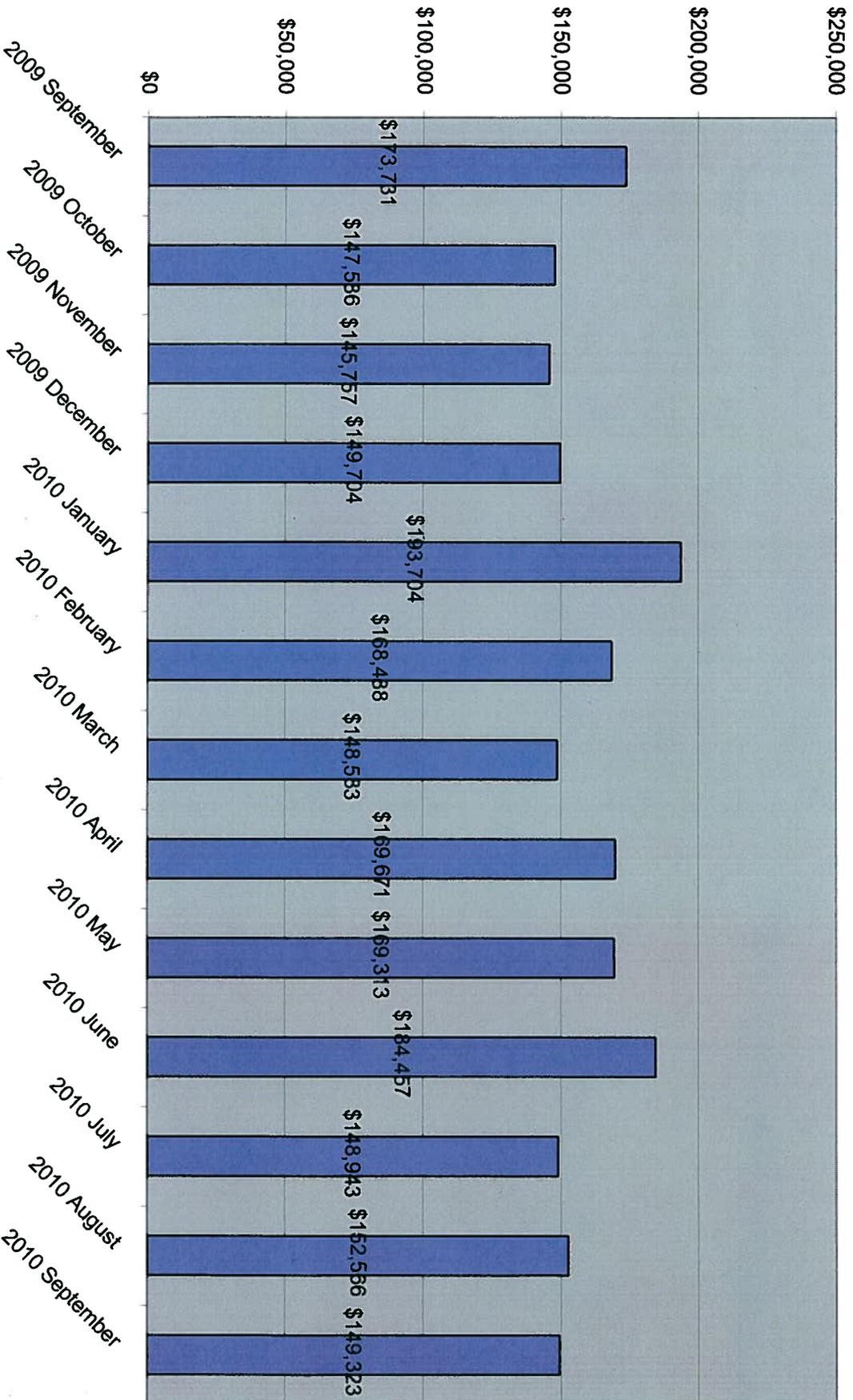
**City of Richmond  
Portfolio Management  
Interest Earnings Summary  
September 30, 2010**

September 30 Month Ending Fiscal Year To Date

	September 30 Month Ending	Fiscal Year To Date
<b>CD/Coupon/Discount Investments:</b>		
Interest Collected	94,437.50	237,687.50
Plus Accrued Interest at End of Period	364,768.72	364,768.72
Less Accrued Interest at Beginning of Period	( 330,085.38)	( 219,381.49)
Less Accrued Interest at Purchase During Period	( 0.00)	( 0.00)
Interest Earned during Period	129,120.84	383,074.73
Adjusted by Premiums and Discounts	559.56	1,646.89
Adjusted by Capital Gains or Losses	3,042.25	4,392.25
Earnings during Periods	132,722.65	389,113.87
<b>Pass Through Securities:</b>		
Interest Collected	0.00	0.00
Plus Accrued Interest at End of Period	0.00	0.00
Less Accrued Interest at Beginning of Period	( 0.00)	( 0.00)
Less Accrued Interest at Purchase During Period	( 0.00)	( 0.00)
Interest Earned during Period	0.00	0.00
Adjusted by Premiums and Discounts	0.00	0.00
Adjusted by Capital Gains or Losses	0.00	0.00
Earnings during Periods	0.00	0.00
<b>Cash/Checking Accounts:</b>		
Interest Collected	6,187.61	85,225.11
Plus Accrued Interest at End of Period	900,925.68	900,925.68
Less Accrued Interest at Beginning of Period	( 890,513.31)	( 926,966.26)
Interest Earned during Period	16,599.98	59,184.53
<b>Total Interest Earned during Period</b>	<b>145,720.82</b>	<b>442,259.26</b>
<b>Total Adjustments from Premiums and Discounts</b>	<b>559.56</b>	<b>1,646.89</b>
<b>Total Capital Gains or Losses</b>	<b>3,042.25</b>	<b>4,392.25</b>
<b>Total Earnings during Period</b>	<b>149,322.63</b>	<b>448,298.40</b>

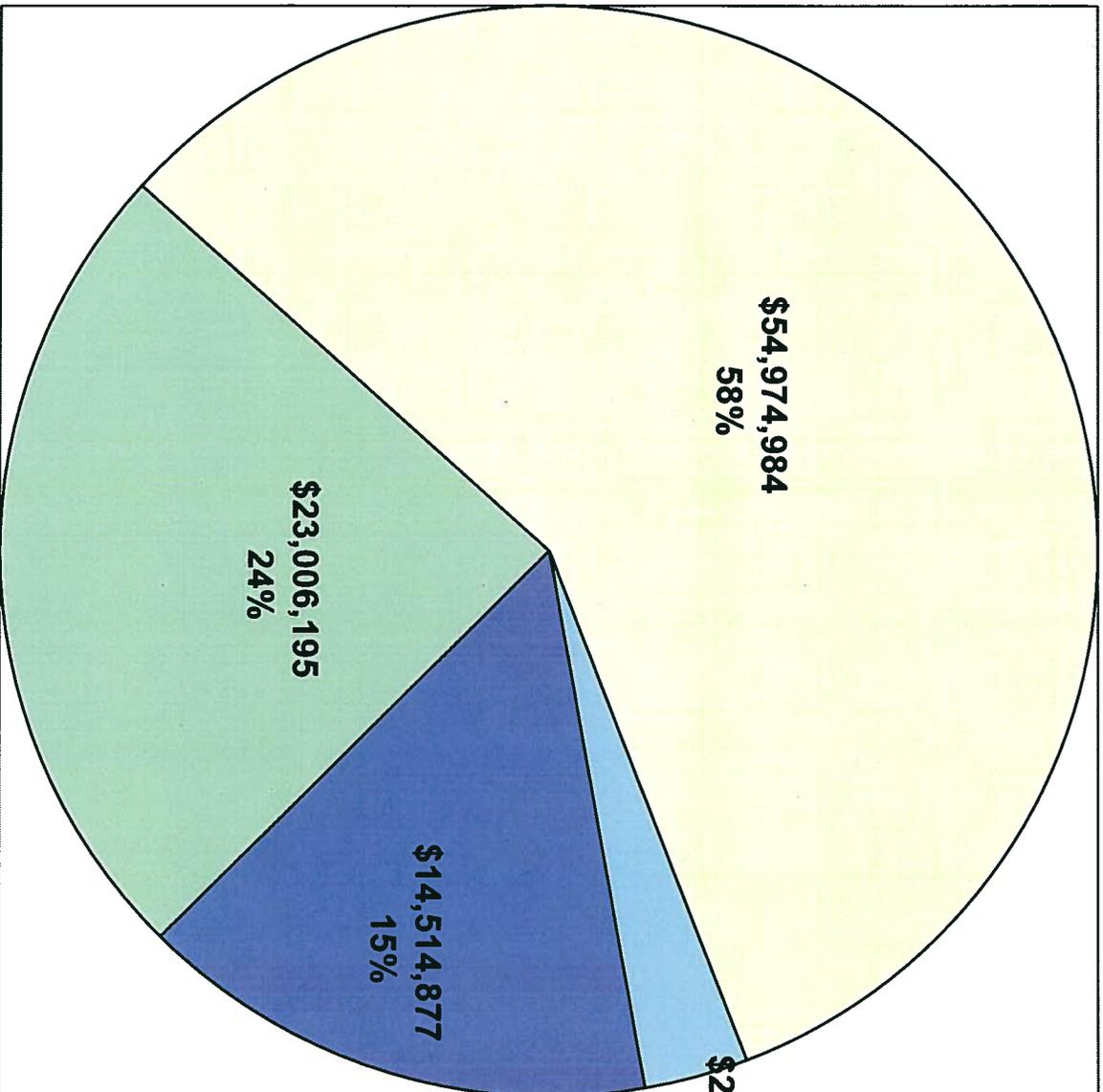
City of Richmond  
 Portfolio Management  
 Portfolio Summary  
**Earnings History**

City of Richmond  
 Finance Department  
 450 Civic Center Plaza  
 Richmond, CA 94804  
 (510)620-6740



City of Richmond  
 Portfolio Management  
 Portfolio Summary  
**Book Value by Investment Type**

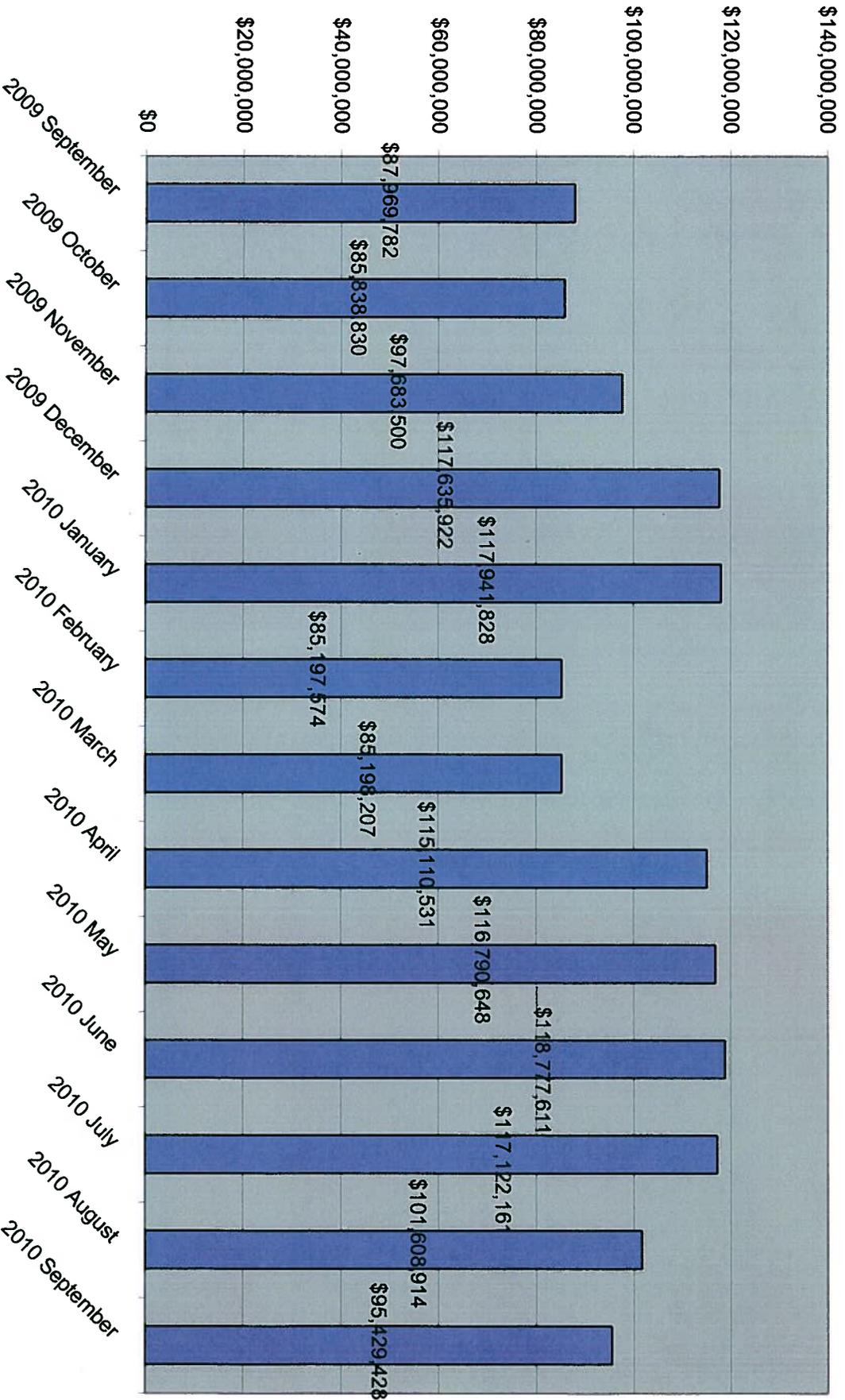
City of Richmond  
 Finance Department  
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- Local Agency Investment Funds
- Money Markets
- Federal Agency Issues - Coupon
- Sweep Account

City of Richmond  
 Portfolio Management  
 Portfolio Summary  
**Book Value History**

City of Richmond  
 Finance Department  
 450 Civic Center Plaza  
 Richmond, CA 94804  
 (510)620-6740





CITY OF RICHMOND  
 TREASURER'S INVESTMENT REPORT  
 September 30, 2010

FUND/INVESTMENTS	FUND DESCRIPTION	PROJECT DESCRIPTION	INSTITUTION	BOOK/COST VALUE	MARKET VALUE	INTEREST/YIELD
<b>Richmond JPFA 2006 A&amp;B</b>						
Money Market	2006 A Reserve Fund		Union Bank	371,426.90	371,426.90	0.03%
Money Market	2006 B Reserve Fund			146,692.97	146,692.97	0.03%
Money Market	2006 Expenses Fund			1,337.35	1,337.35	0.03%
<b>TOTAL ASSETS</b>				<b>519,457.22</b>	<b>519,457.22</b>	
<b>Richmond Pension Obligation 2005 A&amp;B</b>						
Money Market	Cost of Issuance		Union Bank	0.00	0.00	0.00%
Money Market	Bond Fund			0.00	0.00	0.00%
Money Market	Bond Fund			176,780.94	176,780.94	0.03%
Money Market	US Government Agencies			2,728,354.46	2,728,354.46	0.03%
Money Market	Pension Stabilization Fund			0.00	0.00	0.00%
<b>TOTAL ASSETS</b>				<b>2,905,135.40</b>	<b>2,905,135.40</b>	
<b>JPFA TARB Series 2003A</b>						
Money Market	Revenue Fund		Union Bank	0.09	0.09	0.00%
Money Market	Interest Fund			0.36	0.36	0.00%
Money Market	Principal Fund			0.53	0.53	0.00%
Money Market	Surplus Fund			3.06	3.06	0.00%
Money Market	Reserve Fund			20,858.79	20,858.79	0.03%
Guaranteed Investment Contract				925,000.00	925,000.00	4.51%
Cash	Obligation Fund			6,780,000.00	6,780,000.00	5.78%
Money Market	Prepayment Fund			5,861.27	5,861.27	0.03%
<b>TOTAL ASSETS</b>				<b>7,731,724.10</b>	<b>7,731,724.10</b>	
<b>JPFA TARB Series 2003A</b>						
Money Market	Interest Account		Union Bank	0.24	0.24	0.00%
Money Market	Principal Account			0.29	0.29	0.00%
Money Market	Reserve Account			804,000.00	804,000.00	4.99%
Guaranteed Investment Contract				1.00	1.00	100.00%
Surety Bond				804,001.53	804,001.53	
<b>TOTAL ASSETS</b>				<b>804,001.53</b>	<b>804,001.53</b>	
<b>JPFA TARB Series 2003B</b>						
Money Market	Interest Account		Union Bank	0.22	0.22	0.00%
Money Market	Principal Account			0.25	0.25	0.00%
Money Market	Reserve Account			625,000.00	625,000.00	4.99%
Guaranteed Investment Contract				1.00	1.00	100.00%
Surety Bond				625,001.47	625,001.47	
<b>TOTAL ASSETS</b>				<b>625,001.47</b>	<b>625,001.47</b>	
<b>JPFA TARB Series 2003B Capital Improv.</b>						
Money Market	Project Account		Union Bank	308,237.01	308,237.01	0.03%
<b>TOTAL PROJECT ASSETS</b>				<b>1,737,240.01</b>	<b>1,737,240.01</b>	

FUND/INVESTMENTS	FUND DESCRIPTION	PROJECT DESCRIPTION	INSTITUTION	BOOK/COST VALUE	MARKET VALUE	INTEREST/YIELD
<b>2007A</b>						
	Special Fund		Union Bank	13.23	13.23	0.00%
Money Market	Interest Account			0.00	0.00	0.00%
Money Market	Principal Account			0.00	0.00	0.00%
Money Market	Sinking Fund			6.40	6.40	0.00%
Money Market	Debt Service Reserve			0.00	0.00	0.00%
Gov't Agency	Debt Service Reserve			0.00	0.00	0.00%
Money Market	Cash			2,890,506.67	2,890,506.67	0.00%
Money Market	Project Account			0.00	0.00	0.03%
Cash	Cost of Issuance			71,621.74	71,621.74	0.03%
Money Market	Cost of Issuance			0.00	0.00	0.03%
Cash	Cost of Issuance			0.69	0.69	0.00%
<b>TOTAL PROJECT ASSETS</b>				<b>2,962,148.73</b>	<b>2,962,148.73</b>	

FUND/INVESTMENTS	FUND DESCRIPTION	PROJECT DESCRIPTION	INSTITUTION	BOOK/COST VALUE	MARKET VALUE	INTEREST/YIELD
<b>2007 B</b>						
	Special Fund		Union Bank	35,276.85	35,276.85	0.00%
Money Market	Interest Account			1,205,002.32	1,205,002.32	0.00%
Money Market	Principal Account			181,223.09	181,223.09	0.00%
Money Market	Debt Service Reserve			795,584.00	845,504.00	2.77%
Gov't Agency	Debt Service Reserve			2,893,772.87	2,893,772.87	0.00%
Money Market	Project Account			9,661.12	9,661.12	0.00%
Money Market	Cost of Issuance					
<b>TOTAL PROJECT ASSETS</b>				<b>5,120,520.25</b>	<b>5,170,440.25</b>	

FUND/INVESTMENTS	FUND DESCRIPTION	PROJECT DESCRIPTION	INSTITUTION	BOOK/COST VALUE	MARKET VALUE	INTEREST/YIELD
<b>JPFA TAR8 Series 2004 A &amp; B</b>						
	Interest Account - Ser A		Union Bank	1,101,756.60	1,101,756.60	0.03%
Money Market	Interest Account - Ser B			0.03	0.03	0.00%
Money Market	Principal Account - Ser A			175,001.42	175,001.42	0.03%
Money Market	Principal Account - Ser B			0.04	0.04	0.00%
Money Market	Reserve Account - Ser A			1,499,731.41	1,499,731.41	0.03%
Money Market	Reserve Account - Ser B			199,964.18	199,964.18	0.03%
Money Market	Non-Housing Project - Ser A			1,963,749.11	1,963,749.11	0.03%
Money Market	Housing Project - Ser A			756,420.72	756,420.72	0.03%
Money Market	Project Account - Ser B			1,318,018.78	1,318,018.78	0.03%
Money Market	Cost of Issuance - Ser A & B			0.00	0.00	0.00%
<b>TOTAL PROJECT ASSETS</b>				<b>7,014,642.29</b>	<b>7,014,642.29</b>	

FUND/INVESTMENTS	FUND DESCRIPTION	PROJECT DESCRIPTION	INSTITUTION	BOOK/COST VALUE	MARKET VALUE	INTEREST/YIELD
<b>JPFA Series 35A Rev Ref</b>						
	Revenue Fund		Union Bank	189,478.02	189,478.02	0.03%
Money Market	Interest Fund			0.01	0.01	0.00%
Money Market	IPA Reserve Account			3,455.91	3,455.91	0.03%
US Government Agencies				433,309.50	436,312.50	4.84%
Cash				0.00	0.00	0.00%
Money Market	Lease Reserve Account			0.00	0.00	0.00%
US Government Agencies				0.00	0.00	0.00%
Cash				0.00	0.00	0.00%
Money Market	Principal Fund			670.33	670.33	0.03%
<b>TOTAL PROJECT ASSETS</b>				<b>626,913.77</b>	<b>629,916.77</b>	

FUND/INVESTMENTS	FUND DESCRIPTION	PROJECT DESCRIPTION	INSTITUTION	BOOK/COST VALUE	MARKET VALUE	INTEREST/YIELD
Money Market	Improvement Cash Payment	Harbor Navigation Impv. CIP	Union Bank	333,571.64	333,571.64	0.03%
Money Market	Imp Bd Proc			11,522.03	11,522.03	0.03%
<b>TOTAL ASSETS</b>				345,093.67	345,093.67	
Money Market	Redemption Account	Harbor Navigation Improvements	Union Bank	0.13	0.13	0.00%
Money Market	Reserve Fund			218,800.42	218,800.42	0.03%
<b>TOTAL ASSETS</b>				218,800.55	218,800.55	
<b>TOTAL PROJECT ASSETS</b>				563,894.22	563,894.22	

JPEA 09A/B RESERVE FUND		Union Bank
Money Market	Revenue Fund	759,686.21
Money Market	Revenue Fund	1.51
Money Market	Interest Account	0.01
Money Market	Reserve Fund	4,711,006.50
Money Market	Capital Interest - Ser A	0.32
Money Market	Capital Interest - Ser B	1,836,248.25
Money Market	Project Account - Ser A	3,096,485.19
Money Market	Project Account - Ser B	1,623,337.81
Money Market	Cost of Issuance - Ser A	8,167.85
Money Market	Cost of Issuance - Ser A	8,230.56
<b>TOTAL PROJECT ASSETS</b>		12,043,164.21

JPFA 99A Revenue Refunding Bonds		Union Bank
Money Market	Debt Service	661,922.17
Money Market	Interest Fund	0.00
Money Market	Principal Fund	0.00
Money Market	Reserve Account	90,825.62
Guaranteed Investment Contract		0.00
Money Market	Expense Fund	31,135.84
Money Market	Surplus Fund	1,796,966.12
Money Market	Municipal Collateral Obligation	675,000.00
Money Market	Obligation Fund	691,760.19
Money Market	Reserve Account	8,174.35
<b>TOTAL PROJECT ASSETS</b>		3,955,784.29

Country Club Vista		Union Bank
Money Market	Interest Account	0.00
Money Market	Principal Account	0.00
Money Market	Redemption Repayment Account	0.00
Cash		0.00
Govt Obligations		0.00
Cash	Bond Reassessment District	0.00
Cash	Redemption Fund	51,740.60
Cash	Redemption Fund	51,740.60
<b>TOTAL PROJECT ASSETS</b>		51,740.60

JPFA Series 2000A & 2000B		Union Bank
Money Market	Interest Account	0.24
Money Market	Principal Account	0.96
Money Market	Reserve Account	49,754.26
Guaranteed Investment Contract		1,760,343.00
<b>TOTAL ASSETS</b>		1,810,098.46

Lease Revenue Bonds Series 2000B		Union Bank
Money Market	Interest Account	0.08
Money Market	Principal Account	0.19
Money Market	Reserve Account	14,387.02
Guaranteed Investment Contract		466,605.00
<b>TOTAL ASSETS</b>		480,992.29

FUND/INVESTMENTS	FUND DESCRIPTION	PROJECT DESCRIPTION	INSTITUTION	BOOK/COST VALUE	MARKET VALUE	INTEREST/YIELD
<b>JPFA Series 2000A Capital Imp.</b>						
Money Market	Project Account		Union Bank	1,102,688.22	1,102,688.22	0.03%
Guaranteed Investment Contract				0.00	0.00	0.00%
<b>TOTAL ASSETS</b>				1,102,688.22	1,102,688.22	
<b>JPFA Series 2000B Capital Imp.</b>						
Money Market	Housing Project		Union Bank	944,984.35	944,984.35	0.03%
<b>TOTAL PROJECT ASSETS</b>				4,338,763.32	4,338,763.32	
<b>JPFA Lease Revenue 2001A</b>						
Money Market	Bond Fund		Union Bank	13.09	13.09	0.00%
Cash	Reserve			6,647.77	6,647.77	0.03%
Corporate Obligations				0.00	0.00	0.00%
Money Market	Project Fund			2,780,764.58	2,780,764.58	0.03%
<b>TOTAL PROJECT ASSETS</b>				2,787,425.44	2,787,425.44	
<b>Richmond TRANS 2010-2014 Cost of Issuance</b>						
Cash			Union Bank	17,782.00	17,782.00	0.00%
<b>TOTAL PROJECT ASSETS</b>				17,782.00	17,782.00	
<b>Police &amp; Fire Pension Bonds 1999</b>						
Money Market	Bond Fund		U.S. Bank	0.00	0.00	0.00%
Cash				0.00	0.00	0.00%
<b>TOTAL PROJECT ASSETS</b>				0.00	0.00	
<b>Harbor 91 TARB Debt</b>						
Cash	Bond Fund		U.S. Bank	0.00	0.00	0.00%
Money Market	Interest Account			0.00	0.00	0.00%
Investment Agreement	Reserve Account			0.00	0.00	0.00%
Cash				0.00	0.00	0.00%
Investment Agreement	Collateral account			0.00	0.00	0.00%
Cash				0.00	0.00	0.00%
<b>TOTAL PROJECT ASSETS</b>				0.00	0.00	
<b>Harbor 98 TARB Debt</b>						
Money Market	Special Fund		U.S. Bank	0.00	0.00	0.00%
Cash				0.00	0.00	0.00%
Cash	Interest Account			0.00	0.00	0.00%
Cash Equivalents	Reserve Account			0.00	0.00	0.00%
Investment Agreement				1,039,777.92	1,039,777.92	5.98%
Cash				0.00	0.00	0.00%
<b>TOTAL PROJECT ASSETS</b>				1,039,777.92	1,039,777.92	

FUND/INVESTMENTS	FUND DESCRIPTION	PROJECT DESCRIPTION	INSTITUTION	BOOK/COST VALUE	MARKET VALUE	INTEREST/YIELD
<b>Marina Westshore Debt</b>						
Money Market	Redemption Fund		U.S. Bank	212,568.10	212,568.10	0.00%
Money Market	Reserve Fund			314,338.15	314,338.15	0.00%
Money Market	Expense Fund			27,123.66	27,123.66	0.00%
<b>TOTAL ASSETS</b>				<b>554,029.91</b>	<b>554,029.91</b>	
<b>Marina Westshore Capital Imp.</b>						
Money Market	Acquisition & Construction Frnd		U.S. Bank	774.01	774.01	0.00%
L.A.I.F.				91,212.09	91,212.09	0.00%
<b>TOTAL ASSETS</b>				<b>91,986.10</b>	<b>91,986.10</b>	
<b>TOTAL PROJECT ASSETS</b>				<b>646,016.01</b>	<b>646,016.01</b>	
<b>Capital Lease #5</b>						
Money Market	General Purpose Capital Impv		SunTrust Leasing	5,956.29	5,956.29	0.25%
<b>TOTAL PROJECT ASSETS</b>				<b>5,956.29</b>	<b>5,956.29</b>	
<b>Capital Lease #6</b>						
Money Market	General Purpose Capital Impv		SunTrust Leasing	0.00	0.00	0.00%
<b>TOTAL PROJECT ASSETS</b>				<b>0.00</b>	<b>0.00</b>	
<b>Capital Lease #7</b>						
Money Market	General Purpose Capital Impv		SunTrust Leasing	0.00	0.00	0.00%
<b>TOTAL PROJECT ASSETS</b>				<b>0.00</b>	<b>0.00</b>	
<b>1999 Wastewater Revenue Bds</b>						
Money Market	Revenue Fund		Bank of New York	2.43	2.43	0.00%
Money Market	Interest Fund			0.18	0.18	0.00%
Money Market	Reserve Fund			29.06	29.06	0.10%
Money Market	Rebate Fund			4,530.85	4,530.85	0.09%
Money Market	Project Fund			0.00	0.00	0.00%
Government Bonds				546.42	0.00	0.00%
Govt and Other Bonds				0.00	0.00	0.00%
<b>TOTAL PROJECT ASSETS</b>				<b>5,108.94</b>	<b>4,562.52</b>	
<b>Wastewater Rev. Bds Series 06A</b>						
Money Market	COI Fund		Bank of New York	0.00	0.00	0.00%
Money Market	Interest Fund			8.44	8.44	0.11%
Money Market	Project Fund			14.72	14.72	0.06%
Money Market	Parly Reserve Fund			1.00	1.00	0.00%
Money Market	Parly Reserve Fund			106.96	106.96	0.09%
<b>TOTAL PROJECT ASSETS</b>				<b>131.12</b>	<b>131.12</b>	

FUND/INVESTMENTS	FUND DESCRIPTION	PROJECT DESCRIPTION	INSTITUTION	BOOK/COST VALUE	MARKET VALUE	INTEREST/YIELD
	Wastewater Revenue Bonds Series 2006B		Bank of New York			
Money Market	Interest Fund			267,291.48	267,291.48	0.08%
Cash	Interest Fund			0.00	0.00	0.00%
Cash	Parly Reserve Fund			1.00	1.00	0.00%
Cash	Project Fund			0.00	0.00	0.00%
Cash	Interest Fund			0.00	0.00	0.00%
<b>TOTAL PROJECT ASSETS</b>				<b>267,292.48</b>	<b>267,292.48</b>	

FUND/INVESTMENTS	FUND DESCRIPTION	PROJECT DESCRIPTION	INSTITUTION	BOOK/COST VALUE	MARKET VALUE	INTEREST/YIELD
	2007 Refundings and Civic Center Project -		Zions Bank			
Money Market	Revenue Fund			16,285.68	16,285.68	0.25%
Money Market	Interest Fund			0.00	0.00	0.00%
Cash	Principal Fund			0.00	0.00	0.00%
Money Market	Govt Obligations			0.00	0.00	0.00%
Money Market	Cash & Equivalents			0.00	0.00	0.00%
Cash	Miscellaneous			0.00	0.00	0.00%
Guar. Investment Contract	Bond Proceeds			0.00	0.00	0.00%
Cash	Bond Proceeds			0.00	0.00	0.00%
Cash	COI Fund			0.00	0.00	0.00%
Cash	COI Fund			0.00	0.00	0.00%
Guar. Investment Contract	Capital Interest Fund			0.00	0.00	0.00%
Money Market	Cash & Equivalents			0.00	0.00	0.00%
Money Market	Cap Fees Bond Proceeds			0.00	0.00	0.00%
Money Market	Cap Fees Equity Acct			0.00	0.00	0.00%
Cash	Cash & Equivalents			0.00	0.00	0.00%
Guar. Investment Contract	Project Fund			744.93	744.93	0.25%
Money Market	Equity Account			208.38	208.38	0.25%
Guar. Investment Contract	Contingency Fund			0.00	0.00	0.00%
Guar. Investment Contract	Cash & Equivalents			2,236,521.63	2,236,521.63	0.25%
Guar. Investment Contract	Cash & Equivalents			0.00	0.00	0.00%
<b>TOTAL PROJECT ASSETS</b>				<b>2,253,760.62</b>	<b>2,253,760.62</b>	

FUND/INVESTMENTS	FUND DESCRIPTION	PROJECT DESCRIPTION	INSTITUTION	BOOK/COST VALUE	MARKET VALUE	INTEREST/YIELD
	2008A Wastewater Revenue Bds		Bank of New York			
Money Market	Bond Purchase Fund			0.00	0.00	0.00%
Money Market	COI Fund			0.00	0.00	0.00%
Money Market	Letter of Credit			1.00	1.00	0.00%
Money Market	Letter of Credit			500,000.11	500,000.11	0.08%
Money Market	Interest Fund			85,983.58	85,983.58	0.08%
Money Market	Redemption Fund			0.00	0.00	0.00%
<b>TOTAL PROJECT ASSETS</b>				<b>585,984.69</b>	<b>585,984.69</b>	
	Police & Fire Pension		Wellington Trust Co.			
Core Bond				6,727,143.03	6,719,575.54	0.04%
Research Equity				4,314,527.05	3,750,776.55	0.04%
Intl Research Equity				2,360,226.87	3,027,407.67	0.08%
Global Cont Equity				1,874,777.47	1,958,703.41	0.02%
Small Cap Quant				1,155,610.25	946,937.13	0.02%
Opp Investment				2,916,364.48	3,162,091.89	0.01%
<b>TOTAL PROJECT ASSETS</b>				<b>19,348,649.15</b>	<b>19,566,492.19</b>	

TOTAL ASSETS (not administered by City)

76,529,013.07 76,799,232.69